

Notice of Meeting

Schools Forum

Monday, 14th March, 2022 at 5.00pm
On Zoom

This meeting will be streamed live here: www.westberks.gov.uk/schoolsforumlive

Date of despatch of Agenda: Tuesday, 8th March 2022

For further information about this Agenda, or to inspect any background documents referred to in Part I reports, please contact Jessica Bailiss on (01635) 503124
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Agenda - Schools Forum to be held on Monday, 14 March 2022 (continued)

Forum Members: Reverend Mark Bennet, Dominic Boeck, Jonathon Chishick, Melissa Cliffe, Catie Colston (Vice-Chair), Jacquie Davies, Emily Dawkins, Hand, Keith Harvey, Jon Hewitt, Caroline Johnson, Ross Mackinnon, Catherine McLeod, Maria Morgan, Gemma Piper, Chris Prosser, David Ramsden, Campbell Smith, Graham Spellman (Chair), Phil Spray and Charlotte Wilson

Agenda

Part I

		Page No.
1	Apologies	
2	Minutes of previous meeting dated 24th January 2022	1 - 10
3	Actions arising from previous meetings	11 - 12
4	Declarations of Interest	
5	Membership	

Items for Decision

6	Schools' Forum Work Programme 2022/23 (Jessica Bailiss)	13 - 14
7	Schools' in Financial Difficulty - Bids for Funding (Melanie Ellis)	15 - 20
8	iCollege Review (Jacquie Davies/Michelle Sancho)	21 - 34
9	High Needs Block - Use of funds transferred from Schools Block to HNB 2022-23 (Jane Seymour)	To Follow
10	Early Years Budget 2022/23 (Avril Allenby/Lisa Potts)	To Follow

Items for Information

11	Final High Needs Block Budget 2022/23 (Jane Seymour)	35 - 52
12	Deficit Schools (Melanie Ellis)	53 - 56
13	DSG Monitoring 2021/22 Month 10 (Ian Pearson)	57 - 62



Agenda - Schools Forum to be held on Monday, 14 March 2022 *(continued)*

- 14 **Date of the next meeting**
Monday 20th June 2022 at 5pm.

Sarah Clarke
Service Director: Strategy and Governance

If you require this information in a different format or translation, please contact
Stephen Chard on telephone (01635) 519462.

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Note: These Minutes will remain DRAFT until approved at the next meeting of the Committee

SCHOOLS FORUM

MINUTES OF THE MEETING HELD ON MONDAY, 24 JANUARY 2022

Present: Tom Barlow (Post 16 Provision), Reverend Mark Bennet (Church of England Diocese), Councillor Dominic Boeck (Portfolio Holder for Children, Young People and Education), Jonathon Chishick (Maintained Primary School Governor), Melissa Cliffe (Maintained Primary School Headteacher), Catie Colston (Vice Chair and Maintained Primary School Governor), Jackie Davies (Pupil Referral Unit Headteacher), Richard Hand (Trade Union), Keith Harvey (Maintained Primary School Headteacher), Jon Hewitt (Maintained Special School Headteacher), Caroline Johnson (Maintained Primary School Headteacher), Maria Morgan (Maintained Nursery School Headteacher), Julia Mortimore (Academy School Headteacher), Gemma Piper (Academy School Headteacher), Chris Prosser (Maintained Secondary School Headteacher) and Campbell Smith (Academy School Governor)

Also Present: Avril Allenby (Early Years Service Manager), Melanie Ellis (Chief Accountant), Ian Pearson (Head of Education Services), Jessica Bailiss (Policy Officer) and Michelle Sancho (Principal EP & Service Manager)

Apologies for inability to attend the meeting: Emily Dawkins, Michelle Harrison, Councillor Ross Mackinnon, Catherine McLeod, David Ramsden, Graham Spellman and Charlotte Wilson

PART I

67 Minutes of previous meeting dated 6th December 2021

The minutes of the meeting held on the 6th December 2021 were approved as a true and correct record and signed by the Chair.

68 Actions arising from previous meetings

There was only one action arising from the last meeting (Dec21-Ac1). Jane Seymour reported that there had been a question raised regarding whether there would still be a deficit in the High Needs Block (HNB) if the agenda range for Education Health and Care Plans had not been increased to 25. This had been one of the main areas of pressure on the HNB over the past five years. It had not been possible to get a very specific answer to this question. The way the HNB was allocated did not break down in to age ranges and therefore it could not be identified the proportionate of the block that was for young people who were post 19.

Spend in the HNB was just over one million pounds. Historically it was likely that the amount that was allocated for young people in FE colleges was lower than the actual spend however, a specific proportion could not be identified. Jane Seymour commented that it could be safely said that the area would have created a shortfall it was unlikely that this would account for the total overspend in the HNB.

69 Declarations of Interest

There were no declarations of interest received.

70 Membership

The following membership updates were noted:

- Ian Nichol (Maintained Primary School Representative) had stood down from the Forum and he was thanked for his commitment over the last three years.
- Caroline Johnson the headteacher at Bradfield CofE Primary School and Melissa Cliffe the headteacher at Basildon CofE Primary School had recently joined the Forum.
- Tom Barlow from Newbury College (interim Finance Director) would replace Jayne Steele on the Forum as the post 16 representative until March 2022.
- Keith Harvey and Reverend Mark Bennet had recently reached the end of their terms of office and having consulted their relevant groups had confirmed that they would continue for a further term.
- Elections for the Maintained and Academy Primary Governor positions will take place in February.

71 Schools in Financial Difficulty - Bid for Funding from Spurcroft Primary School (Melanie Ellis)

Ian Pearson introduced the report (Agenda Item 6), which summarised the bid for £50,981 that had been received from Spurcroft Primary School to access funding from the 'Primary schools in financial difficulty' de-delegated fund. Other bids were received and reviewed by Heads Funding Group, but it was agreed that they did not meet the criteria for approval. The bids were subsequently withdrawn.

After detailed consideration of the bid from Spurcroft for £50,981, the HFG were recommending approval of the bid but to the value of £30k. The report also proposed a second recommendation to the Forum that the HFG would be used a filter to carry out the detailed work in analysing bids and that the bids that came forward to the Forum were those that were considered to meet the criteria and recommended for approval by the HFG.

Jonathan Chishick requested that more detail on bids be included in the appendices for the Forum going forward. He noted that the deficit at Spurcroft had arisen due to the out of hours club and therefore it would have been helpful to know how much the out of hours deficit was and what the position had been at 31st March 2020. It was noted that the school was setting a surplus budget for 2022/23 and it would be helpful to know how much this surplus was in the context of the £30k. Ian Pearson stated that this point would be taken away from the meeting so that further information could be included with recommended bids in the future. Melanie Ellis concurred and stated she would ensure more information was included.

Keith Harvey proposed that the recommendations set out under section two of the report be approved and this was seconded by Melissa Cliffe. At the vote the motion was carried.

RESOLVED that:

- The bid from Spurcroft Primary School was approved, with payment being capped at £30,000.
- Only bids recommended by Heads Funding Group should be submitted to the Schools Forum for approval going forward.
- Melanie Ellis would ensure that more information was included in appendices for bids being recommended for approval by the Forum going forward.

72 De-delegations Proposals 2022/23 (Lisa Potts/Ian Pearson)

Ian Pearson introduced the report (Agenda Item 7) that set out the details, cost, and charges to schools of the services on which maintained school representatives are required to vote (on an annual basis). Appendix A to the report showed how much each service cost on a school by school basis for 2022/23.

Jonathan Chishick raised a query regarding the figures for the Ethnic Minority and Traveller Achievement Service. At primary level it applied to over 700 pupils however, at secondary school there were only 11 pupils. He queried why the numbers reduced so dramatically going in to secondary school. Part of the service ensured that GCSE papers were provided in a language that could be understood by these children and Jonathan Chishick was therefore surprised regarding the split in the cost to primary schools compared to the cost to secondary schools. Ian Pearson stated that this area was the most complex in terms of the way the census produced information that fed into the allocation. A large difference in numbers would be expected between primary and secondary school due to seven of the ten secondary schools being academies and therefore would not feature in the total pupil numbers being catered for. Some of these secondary schools were very large. Academies that want access to the service had to buy the service and were not able to delegate. For maintained schools, if the fund was de-delegated then the service was available free of charge to these schools. Numbers however, did not relate to pupil numbers support in a particular year as they could only be viewed retrospectively from previous data. Ian Pearson stated that a fuller explanation could be provided at the next meeting.

Melanie Ellis added that the numbers were generated by the October census. Melanie Ellis stated that with further investigation she should be able to see how these figures were comprised.

Catie Colston raised a question regarding the School Improvement Team under section 11 of the report. The proposed change to the service regarding how it would be funded was noted under section 11.2. Not all might be aware that a consultation had recently taken place and Catie Colston felt it would be worth clarifying what this would mean.

In response to Catie Colston's question Ian Pearson report that up until 2022/23 School Improvement Services within local Authorities had been funded through Government grant money. The consultation had taken place at the end of 2021 and had been carried out to help the Government consider whether to allocate the grant. The view had been taken by the Department of Education not to allocate the grant and therefore school improvement would need to be factored into the de-delegation arrangements when the Schools' Forum set the budget for each year. The consultation had concluded before Christmas and subsequently the DfE had clarified their response to the consultation. The responses to the consultation showed that around 75% had not wished to take the route proposed by the Government however, regardless of this view this was the approach adopted. The approach would include a two stage process including 50 percent of the grant being removed in the first year and the whole amount in the second year.

Ian Pearson further explained that it had been assessed how much 50 percent would be as this would essentially be the gap that would need to be funded through de-delegations. This sum had been reduced slightly due to money held in the reserve fund. Another conversation would be required in the following year when the whole of the grant was removed to decide on a suitable level of funding for de-delegation.

The Chair invited the relevant members of the Forum to vote on each of the recommendations as follows.

Recommendation 2.1:

SCHOOLS FORUM - 24 JANUARY 2022 - MINUTES

That representatives of maintained primary schools should agree to de-delegate funds in the 2022/23 financial year for:

- *Behaviour Support Services*
- *Ethnic Minority Support*
- *Trade Union Representation*
- *Schools in Financial Difficulty*
- *CLEAPSS*
- *School Improvement*
- *Statutory and Regulatory Duties comprising:*
 - *Statutory accounting functions in respect of schools*
 - *Internal Audit of schools*
 - *Administration of pensions for school staff*
- *Health and Safety Service to Schools*

Jonathon Chishick proposed that the recommendation be approved by maintained primary school representatives and this was seconded by Keith Harvey. At the vote the motion was carried.

Recommendation 2.2:

That representatives of maintained secondary schools should agree to de-delegate funds in the 2022/23 financial year for:

- *Behaviour Support Services*
- *Ethnic Minority Support*
- *Trade Union Representation*
- *CLEAPSS*
- *School Improvement*
- *Statutory and Regulatory Duties comprising:*
 - *Statutory accounting functions in respect of schools*
 - *Internal Audit of schools*
 - *Administration of pensions for school staff*
- *Health and Safety Service to Schools*

Chris Prosser proposed that the recommendation be approved and at the vote the motion was carried.

Recommendation 2.3:

That representatives of maintained special, nursery and PRU heads should agree to de-delegate funds in the 2022/23 financial year for:

- *CLEAPSS (Special schools and PRU only)*
- *Statutory and Regulatory Duties comprising:*
 - *Statutory accounting functions in respect of schools*
 - *Internal Audit of schools*
 - *Administration of pensions for school staff*
- *Health and Safety Service to Schools*

Jon Hewitt proposed that the recommendation be approved by maintained special, nursery and PRU representatives and this was seconded by Maria Morgan. At the vote the motion was carried.

RESOLVED that:

SCHOOLS FORUM - 24 JANUARY 2022 - MINUTES

- A fuller explanation and detail to be provided on the difference in numbers accessing the Ethnic Minority and Traveller Achievement Service at primary and secondary level.
- Each of the recommendations set out under section two of the report were agreed.

73 High Needs Block Budget 2022/23 (Jane Seymour)

Jane Seymour introduced the report (Agenda Item 8) which set out the current financial position of the High Needs Block (HNB) budget for 2021/22 and the position as far as it can be predicted for 2022/23, including the likely shortfall. The report was similar to the report brought to the Forum in December 2021 and therefore Jane Seymour stated that she would highlight the difference. The purpose of the report was to seek approval of the overall HNB and that the transferred funding from the Schools' Block should be used for invest to save purposes, subject to further information being provided at the next meeting in March 2022.

Jane Seymour drew attention to paragraph 3.7 of the report. The predicted spend for 2022/23 was approximately £244k higher than estimated in November 2021, mainly due to some additional independent school placements. Jane Seymour highlighted however, that the overspend in the block had reduced since the last meeting as was now £5,196,855. Without carried forward underspends, the shortfall for 2022/23 would be £1,480,178. The reason that this was lower than detailed at the last meeting in December 2021 was because the high needs settlement had been more than expected by about £910k.

The figures for the block were set out in more detail under Table One of the report on page 61. The table showed the transferred funding from the Schools' Block, which as £300,200. Members of the Heads Funding Group had requested more detailed information regarding actual spend against the HNB over the last three years and this information would be incorporated within the report for the next meeting in March 2022.

Jane Seymour drew attention to the end of the report, which highlighted that consideration needed to be given to how the money transferred from Schools' Block should be used. An outline of how this funding could be used had been included within the consultation with schools however further detail and proposals could be found under Table 10 on page 74 of the report. Jane Seymour reported that initiatives were being proposed that would improve early intervention and prevent exclusions and costly specialist placements.

Jane Seymour provided detail on each of the proposals included within Table 10. Jane Seymour highlighted that the second proposal regarding funding for SEN in early years, required further discussion. Nursery representatives had felt that there were other areas within early years where spending could help early intervention in addition to improving the capacity of the EDIT Team. There would be further discussion on this area with the Early Years Funding Group.

Jane Seymour reported that further work was required regarding impact and actual cost savings against each of the proposals. A further report would be brought to the next round of meetings in March 2022 for agreement. At the current meeting agreement was sought on rest of the HNB budget proposals contained within the report.

Jonathon Chishick referred to table one within the section of the report on place funding. He noted that there was no total at the bottom of the 'current number of pupils' column or for further education (FE). If further education was excluded then the budgeted number of places was 601 and if this was added to the current number of pupils it was 712. He therefore queried if 712 needed to be budgeted for. Jane Seymour reported that the reason that the information was set out like this was because the budget could not be increased for places by seeking additional funding from the ESFA, unless it was for academies or FE, an uneven playing field, which pushed up costs. When additional place

SCHOOLS FORUM - 24 JANUARY 2022 - MINUTES

funding was required above the official planned placement number. The cost of additional places was taken therefore out of the relevant top up budget. Jane Seymour understood it was confusing and stated that this could be set out differently if required. Jonathon Chishick felt that it was important to be clear about how many pupils needed support. Jane Seymour assured the Forum that these children had been budgeted for but they had been budgeted for in a different part of the HNB. Jane Seymour stated that it could be made clearer how many places were being budgeted within the report.

Reverend Mark Bennet noted under the same table that the current number of pupils in special schools was 440 against 365 places. He queried if this was placing pressure on capacity of the special schools and whether any of this pressure was diverting back into main stream schools. Jane Seymour reported that the number of places did not bear any relation to the physical capacity of the schools. It was a notional number of places that the DfE was willing to recognise and fund through the formula and it was based on the number of children that were historically placed so not reflective of current reality. Jane Seymour added however, that special schools were under pressure. These schools took as many pupils as they could within their physical capacity, which was the main limiting factor. Sometimes there was a small number of children that had to wait for places and if this was the case then additional support would be funded to ensure their needs were being met until they were transitioned. It was an area that needed to be continuously monitored and it was a national issue. Providing additional provision for children with moderate difficulties formed part of the SEND Strategy.

Reverend Mark Bennet queried if the table could be amended so that what Jane Seymour had explained could be made clearer in the headings. Reverend Mark Bennet stated that he would also be interested to see some information on the actual capacity of existing provision so that pressure in the system could be judged.

Gemma Piper stated that it was recognised that the cost of the placements at some provision such as Engaging Potential was more cost effective. Gemma Piper asked if any work had been done regarding expanding some of the smaller provisions, where the cost of placements were known to be significantly less. Jane Seymour confirmed that currently detailed work on expanding Engaging Potential had not been carried out. Engaging Potential was an independent school and not a local authority maintained provision that could be expanded however, this did not mean that negotiations could not take place. Early stage discussions had begun on this due to the success of the provision particularly with children who were Emotionally Based School Avoiders (EBSA). The SEND Strategy would be refreshed over the next 12 months and this was likely to be something that was looked at. Jane Seymour reported that the number of places for children with EHCPs had been increased at iCollege and there was now primary provision. A lot of effort and resource was also being put in to the new SEMH resource in Theale.

The Chair invited the Forum to vote on the recommendations listed under section two of the report. Jon Hewitt proposed that the recommendations be supported and this was seconded by Maria Morgan.

RESOLVED that:

- Jane Seymour to present it clearer within the report how many places were being budgeted for.
- The Schools' Forum agreed the overall HNB budget for 2022/23.
- The Schools' Forum agreed that the transfer of funds from the Schools Block should be used for invest to save purposes, subject to a further detailed report on the usage of funds being brought to the next round of meetings in March 2022.

74 DSG Funding Settlement Overview 2022/23 (Melanie Ellis)

Melanie Ellis introduced the report (Agenda Item 9), which set out the confirmed Dedicated Schools Grant (DSG) allocation for 2022/23. The final allocations had been received in December 2021 and were set out in the report.

Regarding the Schools' block, Melanie Ellis drew attention to the table under 5.1. The growth allocation had now been received, which was £874k. The estimate had been £900k. As recommended by officers and agreed by the Schools' Forum this funding would be allocated to schools according to the agreed funding formula.

Melanie Ellis moved on to the High Needs Block (HNB) and reported that an additional £910k had been allocated. This was released by the DfE for additional costs that were not foreseen when the original allocations were made. The detail on this was included within the previous report on the HNB.

Melanie Ellis reported that the Central Schools Services Block had been finalised and had only changed by £7k. This money would be put towards the historic deficit of £70k. The figures for early years had also been received and were detailed under section eight of the report.

The table under section 9.1 of the report showed the actual deficit at 1st April 2021, the forecast position in 2021/22 and the forecast for 2022/23 based on these levels of funding.

Jonathon Chishick understood that a couple of extra grants schools had been receiving for PE and Sport Premium were coming to an end in July 2022. He queried if the DfE had allocated any funds through the DSG to replace this funding. Melanie Ellis stated that she had not seen any detail on this within the funding allocations. Ian Pearson noted the point and stated that this would be looked in to in time for the next Forum in March, though the DfE had not given timescales for announcements.

RESOLVED that:

- Melanie Ellis to look in to whether any replacement funding was planned by the DfE as a result of the PE and Sport Premium Grants ending in July 2022.

75 School Funding Formula 2022/23 (Melanie Ellis)

Melanie Ellis introduced the report (Agenda Item 10), which set out the final school funding formula allocations for 2022/23. The table under section five of the report showed the final allocations that schools would receive and this was after the quarter percent transfer to the HNB.

Ian Pearson added that the money allocated to each school was significantly determined by pupil numbers on roll. So there might be in an increase in the per pupil value however, a school might see a decrease in its budget due to a decrease in pupil numbers.

RESOLVED that the Schools' Forum noted the report.

76 Early Years Block Budget - update on Deficit Recovery Plan (Avril Allenby)

Avril Allenby introduced the report (Agenda Item 11), which set out how the Early Years deficit was progressing.

Prior to the pandemic the deficit in the Early Years Block (EYB) had been looked at and a deficit recovery plan had been put in place over a five year period and included a reduction across rates. The detail on this was set out in the table under section 3.1 of the

SCHOOLS FORUM - 24 JANUARY 2022 - MINUTES

report. Avril Allenby highlighted that due to the nature of the budget some figures were actual and some were estimates.

Lisa Potts reported that the current position of the EYB was set out in the table under 3.3 of the report. The table showed the amount saved to date based on actual hours for Summer and Autumn 2021 and gave a figure of £70,600. An estimate of £27,898 had also been provided for spring hours within the table based on hours during this period for 2021. The provisional total amount towards the deficit was £98,498. Lisa Potts added that the target figure for year one had been £123,202 however highlighted that the provisional figure was currently based on estimates and some providers were expecting spring hours to be higher.

Avril Allenby reported that there was additional funding coming into early years, which was agreed as part of the spending review. This was detailed under section four of the report. Consideration needed to be given to this locally regarding how it would be transferred through in to the local funding formula bearing the deficit recovery plan in mind. Discussion on this would take place at the Early Years Funding Group.

RESOLVED that the Schools' Forum noted the report and that a further report would be brought to the next meeting in March 2022, to agree this block.

77 **Central School Block Budget 2022/23 (Lisa Potts)**

Ian Pearson introduced the report (Agenda Item 12), which set out the budget for services funded from the Central Schools' Services (CSSB) block of the DSG.

Ian Pearson drew attention to section 4.3, which detailed that the final allocation had been notified and was £7k higher than the initial allocation. This £7k would go towards reducing the prior year deficit of £70k.

Catie Colston noted the high cost against National Copyright Licenses and queried if there was anything that could be done to bring this down. Ian Pearson commented that this. Ian Pearson reported that effort was made to buy collectively however, costs were set and this was outside of the Local Authorities control.

RESOLVED that the Schools' Forum noted the report.

78 **Growth Fund 2021/22 (Melanie Ellis)**

Melanie Ellis introduced the report (Agenda Item 13), which aimed to inform Forum members of payments made to schools from the Growth Fund budget in 2021/22.

Only one school had applied and been approved for growth funding, which was the Calcots, for the amount of £38.5k. Further detail could be found within the report.

All schools had been invited to make a funding request after the October 2021 census data, if they felt that they met the growth fund criteria.

The table on page 100 of the report showed the forecast balance in the growth fund and the forecast amount remaining at 31st March 2023 was £786,767.

Gemma Piper queried what happened to contingency funding. Melanie Ellis confirmed that the funding was placed in this category in case there was another application received. If this funding was not paid out the balance in the fund would increase.

RESOLVED that the Schools' Forum noted the report.

79 DSG Monitoring 2021/22 Month 9 (Ian Pearson)

Ian Pearson introduced the report (Agenda Item 14), which reported the forecast financial position of the services funded by the Dedicated Schools Grant (DSG), highlighting any under or over spends, and to highlight the cumulative deficit on the DSG.

Ian Pearson commented that the report provided detail on quarter three/period nine. Figures within the report provided detail on the overall position of each of the blocks and this was set out under Table One. Table One showed previous outturn positions and the direction of travel for the current year. The surplus/deficit position detailed was what was forecast at this point of the year and was not a final figure. The deficit/surplus column gave an indication of how each of the blocks was performing against what was previously predicted.

Ian Pearson stated that it was worth noting that overall deficit within the DSG was a combination of all the blocks, although the majority of the deficit sat within the HNB. There had currently not been a request from DfE to provide a deficit recovery plan against the net DSG deficit.

RESOLVED that the Schools' Forum noted the report.

80 Forward Plan

Jonathan Chishick noted bids to the Schools in Financial Difficulty Fund were being considered at the next Heads Funding Group. He asked if the bids were awarded if this would come out of next year's budget or the current year's budget. If next year, he queried if extra provision needed to be added to the budget for this fund.

Ian Pearson stated that was possible that further bids might be submitted and therefore consideration needed to be given to whether these bids could be placed on the next HFG agenda. Previously an additional meeting had been set up and it was possible that this might have to happen again.

Ian Pearson explained that they did not currently know what the call on the fund would be however, it had been agreed at an earlier Forum meeting that the pot of funding should be topped up to £200k. Melanie Ellis added that the de-delegations had now been agreed and the budget allocations had to be submitted to the DfE imminently. Further bids to the fund would therefore have to be taken out of the following years allocation.

RESOLVED that the Schools' Forum noted the report.

81 Date of the next meeting

The next meeting of the Schools' Forum would take place on 14th March 2022 on Zoom.

(The meeting commenced at 5.00 pm and closed at 6.10 pm)

CHAIR

Date of Signature

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Actions from previous meeting

Ref No.	Date of meeting(s) raised	Item	Action	Responsible Officer	Comment / Update
Jan22-Ac1	24th January 2022	Schools in Financial Difficulty - Bid for Funding from Spurcroft Primary School	Melanie Ellis would ensure that more information was included in appendices to reports for bids being recommended for approval by the Forum going forward.	Melanie Ellis	Completed and information is included in main report rather than as an appendix.
Jan22-Ac2	24th January 2022	De-delegation Proposals 2022/23	A fuller explanation and detail to be provided on how the figures were comprised for pupils accessing the Ethnic Minority and Traveller Achievement Service at primary and secondary level.	Melanie Ellis	The pupil numbers come from EAL3 October census figures. EAL3 is those pupils recorded on the census as having entered state education in England during the last three years, whose first language isn't English.
Jan22-Ac3	24th January 2022	HNB Budget 2022/23	Jane Seymour to present it clearer within the report, how many places were being budgeted for.	Jane Seymour	Verbal update will be provided at the meeting on 14th March.
Jan22-Ac4	24th January 2022	HNB Budget 2022/23	1) Headings within Table 1 (Place Funding) to be made clearer to reflect comments raised by Reverend Bennet. 2) Information on the actual capacity of existing provision to be provided so that pressure in the system could be judged.	Jane Seymour	Verbal update will be provided at the meeting on 14th March.
Jan22-Ac5	24th January 2022	DSG Funding Settlement Overview	Melanie Ellis to look into whether any replacement funding was planned by the DfE as a result of the PE and Sport Premium Grants ending in July 2022.	Melanie Ellis	The DfE were late last year confirming that this would continue for 2021-22 and nothing has been received confirming that it will end. The DfE have stated that PE and sport premium underspends from 2020-21 and 2019-20 must be used by the end of July this year.

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Agenda Item 6

Schools Forum Work Programme 2022/23

	Item	HFG Deadline	Heads Funding Group	SF Deadline	Schools Forum	Action required	Author
Term 5	<i>Election of Chairman and Vice-Chairman 2022/23</i>			14/06/2022	20/06/2022	Decision	
	Indexation in the Teachers' Pension Scheme	01/06/2022	08/06/2022	14/06/2022	20/06/2022	Discussion	Richard Hand
	School Balances 2021/22	01/06/2022	08/06/2022	14/06/2022	20/06/2022	Discussion	Melanie Ellis
	DSG Outturn 2021/22	01/06/2022	08/06/2022	14/06/2022	20/06/2022	Decision	Melanie Ellis
	Vulnerable Children's Fund - Annual Report for 2020/21	01/06/2022	08/06/2022	14/06/2022	20/06/2022	Information	Michelle Sancho
	Trade Union Facilities Time - Annual Report for 2021/22	01/06/2022	08/06/2022	14/06/2022	20/06/2022	Information	Richard Hand
	DSG Monitoring 2022/23			14/06/2022	20/06/2022	Information	Melanie Ellis
	Deficit Schools (<i>standing item</i>)	01/06/2022	08/06/2022	14/06/2022	20/06/2022	Information	Melanie Ellis
	Schools in Financial Difficulty Bids (TBC)	01/06/2022	08/06/2022	14/06/2022	20/06/2022	Decision	Melanie Ellis
15th June 2022 - Additional Heads Funding Group meeting to consider bids to the Primary Schools in Financial Difficulty Fund (Provisional)							
Term 6	Schools' Forum Membership and Constitution from September 2022			12/07/2022	18/07/2022	Decision	Jessica Bailiss
	Early Years Block Budget - Update on deficit recovery plan	28/06/2022	05/07/2022	12/07/2022	18/07/2022	Information	Avril Allenby
	Review of the union facilities calculation	28/06/2022	05/07/2022	12/07/2022	18/07/2022	Decision	Abi Whitting/Lisa Potts/Ian Pearson
	Deficit Schools (<i>standing item</i>)	28/06/2022	05/07/2022	12/07/2022	18/07/2022	Information	Melanie Ellis
	DSG Monitoring 2022/23 Month 3			12/07/2022	18/07/2022	Information	Melanie Ellis
Term 1	Schools in Financial Difficulty Bids (TBC)	28/06/2022	05/07/2022	12/07/2022	18/07/2022	Information	Melanie Ellis
	Schools Funding Formula Consultation 2023/24	28/09/2022	05/10/2022	11/10/2022	17/10/2022	Decision	Melanie Ellis
	Draft De-delegations 2023/24	28/09/2022	05/10/2022	11/10/2022	17/10/2022	Decision	Lisa Potts
	Scheme for Financing Schools Consultation 2022/23	28/09/2022	05/10/2022	11/10/2022	17/10/2022	Decision	Melanie Ellis
	Update on HNB Invest to Save Projects	28/09/2022	05/10/2022	11/10/2022	17/10/2022	Discussion	Jane Seymour
	Deficit Schools (<i>standing item</i>)	28/09/2022	05/10/2022	11/10/2022	17/10/2022	Information	Melanie Ellis
	DSG Monitoring 2022/23 Month 6			11/10/2022	17/10/2022	Information	Melanie Ellis
	Schools in Financial Difficulty Bids (TBC)	28/09/2022	05/10/2022	11/10/2022	17/10/2022	Decision	Melanie Ellis
9th November 2022 - Additional Heads Funding Group meeting to consider bids to the Primary Schools in Financial Difficulty Fund (Provisional)							
Term 2	Provisional DSG Funding Settlement Overview 2023/24	15/11/2022	22/11/2022	29/11/2022	05/12/2022	Discussion	Melanie Ellis
	School Funding Formula 2023/24	15/11/2022	22/11/2022	29/11/2022	05/12/2022	Decision	Melanie Ellis
	Budgets for Additional Funds 2023/24	15/11/2022	22/11/2022	29/11/2022	05/12/2022	Decision	Melanie Ellis
	Scheme for Financing Schools 2022/23	15/11/2022	22/11/2022	29/11/2022	05/12/2022	Decision	Melanie Ellis
	Draft Central Schools Block Budget 2023/24	15/11/2022	22/11/2022	29/11/2022	05/12/2022	Discussion	Melanie Ellis
	Draft High Needs Budget 2023/24	15/11/2022	22/11/2022	29/11/2022	05/12/2022	Discussion	Jane Seymour
	High Needs Block - Deficit Recovery Plan	15/11/2022	22/11/2022	29/11/2022	05/12/2022	Discussion	Jane Seymour
	Deficit Schools (<i>standing item</i>)	15/11/2022	22/11/2022	29/11/2022	05/12/2022	Information	Melanie Ellis
	Schools in Financial Difficulty Bids (TBC)	15/11/2022	22/11/2022	29/11/2022	05/12/2022	Decision	Melanie Ellis
Term 3	Final DSG Funding Settlement Overview 2023/24	04/01/2023	11/01/2023	17/01/2023	23/01/2023	Discussion	Melanie Ellis
	Final School Funding 2023/24	04/01/2023	11/01/2023	17/01/2023	23/01/2023	Decision	Melanie Ellis
	Final De-delegations 2023/24	04/01/2023	11/01/2023	17/01/2023	23/01/2023	Decision	Lisa Potts
	Final Central School Block Budget Proposals 2023/24	04/01/2023	11/01/2023	17/01/2023	23/01/2023	Decision	Melanie Ellis
	High Needs Block Budget Proposals 2023/24	04/01/2023	11/01/2023	17/01/2023	23/01/2023	Discussion	Jane Seymour
	Growth Fund 2022/23	04/01/2023	11/01/2023	17/01/2023	23/01/2023	Information	Melanie Ellis
	Outline Early Years Forecast 2022/23	04/01/2023	11/01/2023	17/01/2023	23/01/2023	Discussion	Avril Allenby
	Early Years Block Budget - Update on Deficit Recovery Plan	04/01/2023	11/01/2023	17/01/2023	23/01/2023	Discussion	Avril Allenby
	Deficit Schools (<i>standing item</i>)	04/01/2023	11/01/2023	17/01/2023	23/01/2023	Information	Melanie Ellis
	DSG Monitoring 2022/23 Month 9			17/01/2023	23/01/2023	Information	Melanie Ellis
	Schools in Financial Difficulty Bids (TBC)	04/01/2023	11/01/2023	17/01/2023	23/01/2023	Decision	Melanie Ellis
9th February 2022 - Additional Heads Funding Group meeting to consider bids to the Primary Schools in Financial Difficulty Fund (Provisional)							
Term 4	Work Programme 2023/24	21/02/2023	28/02/2023	07/03/2023	13/03/2023	Decision	Jessica Bailiss
	Update on HNB Invest to Save Projects	21/02/2023	28/02/2023	07/03/2023	13/03/2023	Discussion	Jane Seymour
	Final High Needs Block Budget 2023/24	21/02/2023	28/02/2023	07/03/2023	13/03/2023	Decision	Jane Seymour
	Final Early Years Block Budget 2023/24	21/02/2023	28/02/2023	07/03/2023	13/03/2023	Decision	Avril Allenby
	Deficit Schools (<i>standing item</i>)	21/02/2023	28/02/2023	07/03/2023	13/03/2023	Information	Melanie Ellis
	DSG Monitoring 2022/23 Month 10			07/03/2023	13/03/2023	Information	Melanie Ellis
		Schools in Financial Difficulty Bids (TBC)	21/02/2023	28/02/2023	07/03/2023	13/03/2023	Decision

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Primary Schools in Financial Difficulty – Bids for Funding 2021/22

Report being considered by: Schools Forum on 14th March 2022

Report Author: Melanie Ellis

Item for: Decision **By:** All Primary Maintained Schools Representatives

1. Purpose of the Report

- 1.1 To summarise the bids that have been received from schools to access funding from the 'Primary Schools in Financial Difficulty' de-delegated fund and recommended for approval by the Heads Funding Group.

2. Recommendation(s)

- 2.1 To approve the following bids:

(1)	St Finians RC Primary School	£2,492
(2)	Beenham Primary School	£6,600
(3)	Kintbury St Mary's Primary School-Covid 19	£31,134
(4)	Kintbury St Mary's Primary School-Pupil Numbers	£28,000

- 2.2 To approve an additional criteria for allocating funding to schools:

To allow extraordinary payments of up to £5k to be made if at the end of the maximum deficit recovery period of 5 years a school has a deficit balance of £5k or less and the school is able to submit a surplus budget for the following financial year.

- 2.3 To approve an extraordinary payment to St Finians RC Primary School of up to £5,000, to enable them to end their five year deficit.

Will the recommendation require the matter to be referred to the Council or the Executive for final determination?	Yes: <input type="checkbox"/>	No: <input checked="" type="checkbox"/>
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3. Introduction

- 3.1 Since April 2013, local authorities have been required to delegate to all schools the contingency previously held for schools in financial difficulty. Each phase in the maintained sector then has the option to de-delegate and pool this funding, with allocations made to schools that need it. This decision is made on an annual basis.

- 3.2 It was agreed at the Schools Forum meeting 10.12.18 that the Primary Schools in Financial Difficulty fund would in future be capped at £200k. The balance available

as at 01.02.22 is £170k. Payment of the bids below would reduce the balance available to £96.8k.

3.3 The criteria agreed by the Schools' Forum for allocating this funding to schools is as follows:

If a school has a deficit budget it can request additional support funding. If a school can meet all of the following criteria, a bid for additional funding can be made by the school to be considered by the Schools' Forum:

1. *The school has sought and followed the advice of the Schools' Accountancy Service **prior** to going into deficit*
2. *The school has (up to) a five year robust deficit recovery plan in place which has been discussed with and verified by the Schools' Accountancy Service.*
3. *Additional funding may be payable for one of the following exceptional unforeseen circumstances which has taken the school into deficit:*
 - a) Short term downturn in pupil numbers: expenditure to maintain current staffing structure where evidence can be provided that the numbers are likely to recover within a two to three year period and where downsizing of staff and resultant redundancy costs in order to balance the budget on a short term basis would not be an efficient use of resources.
 - b) Sudden permanent downturn in pupil numbers in a school causing concern (i.e. Ofsted category of notice to improve or worse): expenditure to maintain current staffing levels on a temporary basis where to reduce the staffing levels immediately in order to balance the budget would be detrimental to the recovery of standards in the short term.
 - c) Unforeseen sudden permanent downturn in pupil numbers: expenditure to cover staffing costs during a short term interim period whilst restructuring takes place and in order where possible to avoid redundancies (such as through natural wastage).
 - d) Redundancy payments, where the staffing reductions are required in order to balance the budget, but these costs would put the school further into a deficit position and take the school longer to recover the deficit.
 - e) Any other one off costs incurred on recovery of the deficit, such as specialist consultancy advice/support. (it was agreed by Schools' Forum on 11th July 2016 that where West Berkshire's Accountancy Service are engaged for such support, the cost can be charged direct to this fund without making a separate bid).
 - f) Covid -19 where the school's deficit is either wholly or in part a direct result of the financial impact of Covid-19
 - g) Covid-19 where the school's Main School Budget reserves were significantly impacted by Covid-19 related costs and/or loss of income unique to the school.
 - h) Bid for reimbursement of one-off redundancy costs incurred by schools not currently in deficit but required to restructure to avoid going into deficit.
 - i) Bid for reimbursement of unforeseen exceptional one-off expenditure which would result in schools not currently in deficit ending the year with an unplanned deficit.

In order to access this funding, a school will need to complete and submit an application to the WBC Schools' Accountancy who will arrange a panel (usually the next Heads Funding Group) to assess the application. The school will be invited to

present their case in person to the panel and answer questions. The panel will recommend the amount and duration of the financial support to Schools' Forum for approval or not.

- 3.4 Note that the decision to be taken by Schools' Forum is by Primary maintained school representatives only.

4. St Finians RC Primary

4.1 Summary:

Funding sought:	£2,492
In deficit:	Yes
First year of deficit:	2016
Year expected to come out of deficit:	2023
Previous SIFD bids:	£2,269 awarded 2020

4.2 Background:

The school has operated with a deficit budget since 2016, 2021/22 is its fifth year. If the school's bid is unsuccessful it will exceed the maximum length a school may operate with a deficit budget.

Extract West Berkshire Council Scheme for Financing Schools 2021: The recommended length over which schools may repay the deficit, i.e. reach at least a zero balance, with appropriate mechanism to ensure that the deficit is not simply extended indefinitely, is three years. The maximum length of repayment is five years.

4.3 Bid:

The request is in respect of the financial impact of a resignation.

- (a) A mid-term resignation resulted in a need to find immediate support for a high special needs class. Additional costs were incurred for two months amounting to £2,492.
- (b) The bid meets the criterion of 3 (i) set by the Schools' Forum.

4.4 Financial detail:

	£		Pupils
2020/21 actual:	(20.7k)	deficit	186
2021/22 budget:	4.8k	surplus	194
2021/22 forecast P9:	(4.4k)	deficit	194

5. Beenham Primary School

5.1 Summary:

Funding sought:	£6,600
In deficit:	Yes
First year of deficit:	2016
Year expected to come out of deficit:	2021
Previous SIFD bids:	£25,430 awarded 2018 £9,000 awarded 2020

5.2 Background:

The school has operated with a deficit budget since 2016, 2021/22 is its fifth year.

Extract West Berkshire Council Scheme for Financing Schools 2021: The recommended length over which schools may repay the deficit, i.e. reach at least a zero balance, with appropriate mechanism to ensure that the deficit is not simply extended indefinitely, is three years. The maximum length of repayment is five years.

5.3 Bid:

The request is in respect of the financial impact of an uninsured staff absence.

- (a) An unplanned long term teacher absence had to be covered externally costing £7k over budget.
- (b) The absence was not covered by the insurance policy.
- (c) The bid meets the criterion 3(i) set by the Schools' Forum.

5.4 Financial detail:

	£		Pupils
2020/21 actual:	(0.4k)	deficit	56
2021/22 budget:	1.2k	surplus	54
2021/22 forecast P9:	2.8k	surplus	54

- (a) The school had planned to end the last financial year (2020/21) with a small surplus of £101, but due to unplanned staff changes and the transfer of a deficit balance from the Out of Hours Club the school ended the year with a small deficit of £0.4k.
- (b) In 2021/22, the school planned to end the year with a small surplus balance of £1.2k. As a result of the unplanned staff cover, the forecast was a deficit of £7.9k.
- (c) During 2021/22, the schools has taken some Afghan children and has received funding for this. This has resulted in a forecast surplus of £2.8k, however, the funding is for the children and their additional needs including staffing and extra resources.

6. Kintbury St. Mary's Primary School - Covid

6.1 Summary:

Funding sought:	£31,100
In deficit:	Yes
First year of deficit:	2021
Year expected to come out of deficit:	2024
Previous SIFD bids:	£30,700 awarded 2019

6.2 Background:

This is the first year the school has operated with a deficit budget. It plans to come out of deficit 2023/24.

6.3 Bid:

The request is in respect of the financial impact of Covid-19 on the school's reserves.

(a) Four members of support staff were shielding on full pay and extra staff were required to cover the absence amounting to £34.5k. £3k covid workforce funding was received and has been deducted from the bid.

(b) The bid meets the criterion 3(g) set by the Schools' Forum.

6.4 Financial detail:

	£		Pupils
2020/21 actual:	30k	surplus	162
2021/22 budget:	(62k)	deficit	145
2021/22 forecast P9:	(49k)	deficit	145

7. Kintbury St. Mary's Primary School – Pupil Numbers

7.1 Summary:

Funding sought:	£28,000
In deficit:	Yes
First year of deficit:	2021
Year expected to come out of deficit:	2024
Previous SIFD bids:	£30,700 awarded 2019

7.2 Background:

This is the first year the school has operated with a deficit budget. It plans to come out of deficit 2023/24.

7.3 Bid:

The request is in respect unforeseen sudden permanent downturn in pupil numbers.

- (a) Pupil numbers have fallen by 14, from October 2019 census to October 2020 census.
- (b) The bid meets the criterion 3(c) set by the Schools' Forum.

7.4 Financial detail:

	£		Pupils
2020/21 actual:	30k	surplus	162
2021/22 budget:	(62k)	deficit	145
2021/22 forecast P9:	(49k)	deficit	145

8. St Finians RC Primary – Extraordinary Payment

8.1 Summary:

Extraordinary payment recommended:	£5,000
In deficit:	Yes
First year of deficit:	2016
Year expected to come out of deficit:	2023
Previous SIFD bids:	£2,492 recommended (see above) £2,269 awarded 2020

8.2 Background:

The school has operated with a deficit budget since 2016, 2021/22 is its fifth year. If the school's bid above for £2,492 is unsuccessful it will, based on P9 figures, leave a deficit forecast balance of £4.4k. If successful, the forecast would be £1.9k deficit.

Extract West Berkshire Council Scheme for Financing Schools 2021: The recommended length over which schools may repay the deficit, i.e. reach at least a zero balance, with appropriate mechanism to ensure that the deficit is not simply extended indefinitely, is three years. The maximum length of repayment is five years.

8.3 Heads funding Group Proposal:

A sum of up to £5k be made available from the Primary School in Financial Difficulty fund, if that sum will clear the school's deficit in 2021/22 and allow the school to submit a surplus budget for 20212/23.

9. Recommendation and Conclusion

The Heads Funding Group recommend that;

- (1) The bids and extraordinary payment be approved.
- (2) The criteria agreed by the Schools' Forum for allocating this funding to schools be amended to allow extraordinary payments of up to £5k to be made.

iCollege Review

Report being considered by: Schools Forum on 14th March 2022

Report Author: Michelle Sancho & Jacquie Davis

Item for: Decision **By:** All Forum members

1. Purpose of the Report

1.1 To report back on proposals of the iCollege Financial Review task and finish group.

2. Recommendation

2.1 That the Schools' Forum agrees the proposals of the task and finish group set out in section five of the report.

Will the recommendation require the matter to be referred to the Council or the Executive for final determination?	Yes: <input type="checkbox"/>	No: <input checked="" type="checkbox"/>
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3. Introduction/Background

3.1 A report to Schools' Forum on 23.2.21 identified key issues to be taken forward by a task and finish group. These included addressing a lack of clarity around the roll status of students at iCollege; inconsistent practice and different financial arrangements in the funding of placements; clarity around admission procedures especially for primary schools; forward planning in relation to EHCP places and the new SEMH provision.

4. Supporting Information

4.1 A task and finish group was established and a number of meetings were held between September and December 2021. These meetings were a mixture of meetings of the full group and specialist sub groups. Legal and financial expertise was sought.

4.2 Financial modelling was undertaken to determine how many places could be offered based on an annual budget of £829K (see Appendix A). It was agreed that a variety of places should be offered including placements for permanently excluded pupils, placements jointly funded (50/50) by the local authority and schools and commissioned places funded 100% by the local authority for pupils that have not been permanently excluded (PEX). Commissioned places would be for complex primary aged cases and would have criteria applied to places. It is hoped that these places will help avoid permanent exclusion for vulnerable pupils. Proposed numbers are outlined in section 5 of this report.

4.3 One of the recommendations of the report in February 2021 was for iCollege to provide outcome data and tracking of pupils attending iCollege. Outcome data can be seen in Appendix B.

- 4.4 In response to a request for clarity around admission procedures a guidance document has been produced. Please see Appendix C for further details.
- 4.5 A service level agreement is being finalised between the local authority and iCollege.
- 4.6 The published information (on the internet) around iCollege has been updated. Please see Appendix D.
- 4.7 The key issues highlighted in the previous report and those made known to the task and finish group were addressed. Recommendations to address these are outlined in section 5.

5. Proposals

5.1 LA to pay up front for 50/50 places

iCollege deal with a variable and unpredictable flow of money throughout the year. The current practice involves termly recharges. Paying up front at the start of the financial year for 50/50 and commissioned places will provide more stability from the beginning of the financial year. Monitoring meetings will take place each short term to confirm the uptake of places.

5.2 Short term places to be delivered in termly blocks

At present there is no clearly determined length of placement for short term places. It is recommended that places are initially agreed for a term (6 weeks) and then reviewed on a termly basis.

5.3 Commissioned, PEX and 50/50 places supported by financial modelling

Financial modelling has forecast how many places can be allocated based on the current iCollege budget. The recommendation is that 10 places are allocated for permanently excluded pupils. A minimum of 37 places for 50/50 placements. Following consultation with Primary Headteachers both 50/50 and commissioned places will be available to primary schools. The number of commissioned places to be made available for primary schools is to be finalised. These will be available for a small number of cases meeting specific criteria.

5.4 6th form for EHCP only

6th form places are greatly reduced and EHCP students mainly attend. The recommendation is that iCollege 6th form is in place for EHCP students only.

5.5 Pod/Pod Plus (84 20/21 to 82 21/22 places)

The SEN Strategy and Deficit Recovery Strategy has identified savings that may be realised by the establishment of SEMH provision within West Berkshire. Modelling has projected a gradual reduction in SEN places at iCollege due to the availability of local SEMH provision with the use of Pod and Pod plus being gradually reduced. The projection in the reduction of places is shown below in table 1. This represents a reduction in SEN places. It is envisaged that the 66 places commissioned for iCollege will remain with an additional 5 places available for SEN places if required.

Table 1

Financial year	No. of placements	Reduction
2022-23	84	
2023-24	82	-2
2024-25	80	-2
2025-26	78	-2
2026-27	76	-2
2027-28	74	-2
2028-29	71	-3
Total		-13

5.6 Roll status

Following consultation with secondary head teachers and with legal it has been agreed that all short term (in reach) placements will be dual roll. Permanent places (managed move to iCollege) are to be available from Year 10 onwards. The permanent places will be on a single roll status at iCollege. These are only available for cases where extensive interventions have been put in place previously including short term intervention at iCollege. The roll status recommendation will be reviewed again with secondary heads following the outcome of the exclusion guidance consultation.

5.7 Suspension (Fixed Term) Provision

Schools are responsible for providing suspension provision. Responsibility falls to the local authority following permanent exclusion. From April 2022 schools will be expected to pay 100% of suspension provision. This provision will be available only if spaces are available at iCollege.

5.8 PEX places to be invoiced 6 weeks after exclusion

To avoid incorrect invoicing following decisions at independent review meetings, iCollege will invoice the local authority for PEX places no earlier than 6 weeks after the exclusion.

5.9 Hours/Package to be agreed on entry

It is recommended that hours for each placement and package details are agreed for each student on entry. These are subject to change but are to be agreed with schools and the local authority.

5.10 Invest to save opportunities

There may be opportunities to temporarily increase the iCollege offer from time to time as part of invest to save opportunities.

6. Conclusion

- 6.1** The task and finish group have made a series of recommendations based on current issues. These have been widely consulted on and aim to improve clarity and support the financial operation of iCollege going forward.

7. Consultation and Engagement

Lisa Potts	Finance Manager
Jacque Davies	HT iCollege
Holly Whitwham	Principal Solicitor (People)
Nicola Ponton	SEN Manager
Jane Seymour	Service Manager (SEN & Disabled Children)
Keith Harvey	HT St Nicolas CE Junior School
Gemma Piper	HT Kennet School
Lucy Hillyard	Therapeutic Thinking Lead
Ros Arthur	Exclusions Officer
Kate House	HT The Ilsleys and Hampstead Norreys
Maureen Sims	Chair of Governors (iCollege)
Ian Pearson	Head of Education
Secondary Headteachers	Secondary Collaborative Members
Primary Headteachers	Via Primary Executive

8. Appendices

- 8.1** Appendix A – Financial Modelling

Pupil Referral Unit funding - budget held by Michelle Sancho					
					£
Place funding Budget 2021/22					660,000
Funded places	66	@	£10,000		660,000
Pupil Referral Unit Top Up Funding Budget 2021/22					821,920
How many permanent year 11 pupils could we fund upfront?					
Nov-Dec 2019	11 pupils				
Nov-Dec 2020	13 pupils				
Places	Rate	£		no of days	£
56	50/50	56	50% @ £112/day	190	£595,840
10	100	112	100% @ £112/day	190	£212,800
66					£808,640
Places	Rate	£		no of days	£
15	100 Pex	112	100% @ £112/day	190	£319,200
27	50/50	56	50% @ £112/day	190	£287,280
10	100	112	100% @ £112/day	190	£212,800
52					£819,280
Places	Rate	£		no of days	£
10	100 Pex	112	100% @ £112/day	190	£212,800
37	50/50	56	50% @ £112/day	190	£393,680
10	100	112	100% @ £112/day	190	£212,800
57					£819,280

8.2 Appendix B – Outcome Data

Outcome Data

Statistical Neighbour / National Ranking for Attainment 8 and Progress 8

Year	Attainment 8 ranking	Progress 8 rate ranking
2017 / 152 authorities	48 th (4 /11 stat. neighbours)	53 rd (4 /11 stat. neighbours)
2018 / 152 authorities	21 st (2 /11 stat. neighbours)	12 th (2 /11 stat. neighbours)
2019 / 150 authorities	5 th (1 /11 stat. neighbours)	4 th (1 /11 stat. neighbours)
2020 No national data published		
2021 No national data published / too early?		

Progress / Impact data (Final) 2020/21 (data is available from 2017/18)

Key Stage 1 & 2

Accelerated	All	PPG	EHCP	CiC
English - Reading	20% 3/15	0% 0/19	15% 2/13	50% 1/2
English - Writing	27% 4/15	22% 2/9	15% 2/13	0% 0/2
English – S & L	33% 5/15	22% 2/9	31% 4/13	50% 1/2
Maths	13% 2/15	0% 0/9	8% 1/13	0% 0/2
Expected				
English - Reading	33% 5/15	44% 4/9	31% 4/13	0% 0/2
English - Writing	13% 2/15	0% 0/9	15% 2/13	0% 0/2
English – S & L	27% 4/15	33% 3/9	23% 3/13	0% 0/2
Maths	27% 4/15	22% 2/9	23% 3/13	50% 1/2

Key Stage 3

INSPIRATIONKS1&2
Foxglove Way, Thatcham
Berks., RG18 4DH
01635 877114

INTEGRATIONKS3&4
22 Highview, Calcot
Reading, Berks., RG31 4XD
01189 416636

INTERVENTIONYS&10
88 Newtown Road, Newbury
Berks., RG14 7BT
01635 49397

INDEPENDENCEY11&KS5
Richmond House, Bath Road
Newbury, Berks., RG14 1QY
01635 48872



4

Accelerated	All	PPG	EHCP	CiC
English	40% 4/10	38% 3/8	0% 0/5	-
Maths	50% 5/10	50% 4/8	50% 2/4	-
Science	55% 6/11	57% 4/7	20% 1/5	-
Art	30% 3/10	38% 3/8	25% 1/4	-
Expected				
English	60% 6/10	50% 4/8	40% 2/5	-
Maths	70% 7/10	75% 6/8	75% 3/4	-
Science	63% 7/11	71% 5/7	40% 2/5	-
Art	30% 3/10	38% 3/8	25% 1/4	-

Key Stage 4

Accelerated	All	PPG	EHCP	CiC
English	85% 17/20	83% 10/12	20% 1/5	100% 1/1
Maths	50% 10/20	25% 3/12	-	100% 1/1
Science	50% 7/14	44% 4/9	50% 2/4	-
Art	27% (3/11)	13% 1/8	33% 1/3	-
Expected				
English	85% 17/20	83% 10/12	20% 1/5	100% 1/1
Maths	80% 16/20	75% 9/12	50% 2/4	100% 1/1
Science	79% 11/14	67% 6/9	75% 3/4	-
Art	27% 3/11	13% 1/8	33% 1/3	-

Key Stage 5

Accelerated	All	exPPG	EHCP	CiC
English	100% 1/1	0% 0/1	-	-
Maths	67% 2/3	0% 0/1	0% 0/1	-
Science	100% 1/1	100% 1/1	-	-
Art	100% 2/2	100% 1/1	-	-
Expected				
English	100% 1/1	0% 0/1	-	-

INSPIRATIONKS1&2
Foxglove Way, Thatcham
Berks., RG18 4DH
01635 877114

INTEGRATIONKS3&4
22 Highview, Calcot
Reading, Berks., RG31 4XD
01189 416636

INTERVENTIONYS&10
88 Newtown Road, Newbury
Berks., RG14 7BT
01635 49397

INDEPENDENCEY11&KS5
Richmond House, Bath Road
Newbury, Berks., RG14 1QY
01635 48872



Maths	100% 3/3	0% 0/1	100% 1/1	-
Science	100% 1/1	100% 1/1	-	-
Art	100% 2/2	100% 1/1	-	-

KS4 Exam Result Data 2017 – 2021

No of qualifications:	iCollege 17/18	National Tables 17/18	iCollege 18/19	National Tables 18/19	iCollege 19/20	National Tables 19/20	iCollege 20/21	National Tables 20/21
% 5 x 9-4 pass English and maths	13% (2/15)	4.2% 1.5%	5% (1/19)	4.3% 1.5% (9-5)	5% (1/19)		7% (2/27)	
5 x GCSE 9-4	33% (5/15)	3%	16% (3/19)	EBacc = 0.1%	5% (1/19)		7% (2/27)	
4 x GCSE 9-4	7% (1/15)		16% (3/19)		5% (1/19)		0%	
3 x GCSE 9-4	14% (2/15)		16% (3/19)		21% (4/19)		11% (3/27)	
2 x GCSE 9-4	27% (4/15)		32% (6/19)		16% (3/19)		22% (6/27)	
1 x GCSE 9-4	21% (3/15)		16% (3/19)		16% (3/19)		4% (1/27)	
5 x GCSE 9-1			5% (1/19)		5% (1/19)		7% (2/27)	
4 x GCSE 9-1			11% (2/19)		42% (8/19)		33% (9/27)	
3 x GCSE 9-1			5% (1/19)		16% (3/19)		26% (7/27)	
2 x GCSE 9-1			5% (1/19)		16% (3/19)		30% (8/27)	

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1+ x GCSE 9-1			5% (1/19)		21% (4/19)		4% (1/27)	
Any passes	100%	58%	100%	57.7%	100%		100%	
6+ x qualifications including FS English & maths	100% (16/16)		50% (14/28)		57% (13/23)		37% (10/27)	
5 x qualifications including FS English & maths	0%		0%		9%		7% (2/27)	
4 x qualifications including FS English & maths	33%* (1/3)		7% (2/28)		9% (2/23)		19% (5/27)	
3 x qualifications including FS English & maths	33%* (1/3)		4% (1/28)		9% (2/23)		15% (4/27)	
2 x qualifications including FS English & maths	33%* (1/3)		4% (1/28)		13% (3/23)		7% (2/27)	
1 x qualifications including FS English or maths	0%		4% (1/28)		4% (1/23)		15% (4/27)	
Any passes	100%		100%		100%		100%	

*these learners have been removed from the overall figures to eliminate poor attendance bias, and there are a further 4 learners who are on the roll of icollege for

iCollege Exam Result Data Analysis Post 16

No of qualifications:	iCollege 2017/18	iCollege 2018/19	iCollege 2019/20	iCollege 20/21
	9/10 students completed year (1 x care leaver, 3 x ECHP)	8/11 students completed year (4 x Home Ed, 1 attended for social)	11/11 learners completed the year	5/7 learners completed the year

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		skills only, 3 didn't successfully transit.)		
Retention rates	90%	100% attending, 73% ex HEd	100%	100%
5 x GCSE 9 - 4	10%	0%	20% (2/10)	0%
4 x GCSE 9 - 4	0%	28% (2)	10% (1/10)	0%
3 x GCSE 9 - 4	30%	0%	10% (1/10)	50% (3/6)
2 x GCSE 9 - 4	20%	57% (4)	20% (2/10)	33% (2/6)
1 x GCSE 9 - 4	10%	14% (1)	20% (2/10)	17% (1/6)
8 x GCSE 9 - 1		14% (1)	0%	0%
6 x GCSE 9 - 1		0%	0%	0%
5 x GCSE 9 - 1	20%	14% (1)	20% (2/10)	0%
4 x GCSE 9 - 1	20%	28% (2)	10% (1/10)	50% (3/6)
3 x GCSE 9 - 1	20%	42% (3)	30% (3/10)	33% (2/6)
2 x GCSE 9 - 1		0%	20% (2/10)	0%
1 x GCSE 9 - 1	10%	0%	20% (2/1)	17% (1/6)
0 x GCSE 9 - 1	30%*	0%	0%	0%
6 + x qualifications including FS English & maths	30%	14% (1)	27% (3/11)	66% (4/6)
5 x qualifications including FS English & maths	30%	14% (1)	27% (3/11)	33% (2/6)
4 x qualifications including FS English & maths	0%	44% (3)	18% (2/11)	0%
3 x qualifications including FS English & maths	10%	28% (2)	27% (3/11)	0%
2 x qualifications including FS English & maths	0%	0%	0%	0%
1 x qualifications including FS English & maths	10%	0%	0%	0%
0 x qualifications including FS English & maths	20%	1 EHCP learner, social skills only	0%	0%

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Destinations KS4

17/18 KS4 (20 learners)	EET	Working (no training)	Looking...	NEET
September 18	10 (42%) college 6 (25%) a'ships 3 (13%) sixth form 1 (4%) OOC / college	1	0	4 (1%) (1 x refused support, 1 x WBTC concern, 2 x apply jobs / a'ships.)
18/19 KS4 (28 learners)	EET	Working (no training)	Looking...	NEET
September 19	8 (28%) iCollege 7 (25%) college 2 (7%) m'stream 6 th 9 (32%) a'ships 1 (4%) football coach, 1 (4%) work + training			
19/20 KS4 (23 learners)	EET	Working (no training)	Looking...	NEET
September 20	13 college 3 a'ships 3 iCollege 2 Post 16 mainstream 1 WBTC 1 Chiltern Training			3 at risk of NEET
20/21 KS4 (27 learners)	EET	Working (no training)	Looking...	NEET
September 21	20 (74%) college 4 (15%) a'ships 2 (7%) iCollege 1 (4%) WBCT			

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Destinations Post 16

17/18 Post 16 (10 learners)	EET	Working (no training)	Looking...	NEET
September 18	5 (50%) iCollege, 2 (20%) college 1 (10%) mainstream 1 (10%)rugby a'ship			1 (10%) OOC
18/19 Post 16 (13* learners)	EET	Working (no training)	Looking...	NEET
September 19	5 (38%) iCollege 1 (8%) m'steam 6 th 2 (16%) college 1 (8%) voluntary work 1 (8%) a'ship 2 (16%) work with training			1 (8%) ex Home Ed
*2 learners didn't sit further exams				
19/20 Post 16 (11 learners)	EET	Working (no training)	Looking...	NEET
September 20	5 (45%) college 1 (9%) Prince's Trust 3 (27%) iCollege 1 (9%) Cranberry College			1 (9%) OOC
19/20 Post 16 (7 learners)	EET	Working (no training)	Looking...	NEET
September 21	1 (14%) iCollege 2 (28%) a'ships 2 (28%) college 1 (14%) work with training			1 (14%) Volunteering

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Destinations Primary

	Primary	Secondary	Special	Staying / Pod
17/18				
September 18	5	2	3	7 / 0
18/19				
September 19	4	4	5	4 / 4
19/20				
September 20	0	4 (100% Yr 6)	0	10 + 7
20/21				
September 21	0	2 (18% Yr 6)	1 (9% Yr 6)	10 + 8 (73% yr 6)

Transitions

	17/18	18/19	19/20	20/21
Individual learners		62	42	44
Individual placements		62	44	48
In-Reach learners		36	29	41
PEX learners		26	10	7
Returned to home mainstream		17	16	16
Started new mainstream		13	9	5
Started Special School		4	4	5
Transfer within iCollege		22	9	18
Other destination		1 (Yr 11 leaver)	0	2 (1 x App'ship and 1 x college)
Learners on roll @ Integration for next academic year		5	4	2

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Attendance

Year	Overall Absence rate	Authorised	Unauthorised	Persistent
2017/18 National	35.3%	19.7%	15.6%	76.1%
iCollege (Census May 18)	18.9%	12.5%	6.4%	44.4%
2018/19 National	35.3%	19.2%	16.1%	74.6%
iCollege (Census May 19)	22.2%	14.3%	7.9%	61.9%
2019/20 National (No data)				
iCollege (Census May 20) COVID	36.5%	27.8%	8.6%	74.4%
2020 /21 National (No data)				
iCollege (Census May 21) COVID	61.2%	50.3%	1.8%	87.7%

Counselling

	2017-2018	2018-2019	2019-2020	2020-2021
Total no. students	41	26	30	31
Total no. staff	n/a	10	8	9
Mainstream students	27	10	8	13
I-College students	14	16	22	17
I-College students supported upon return to mainstream	1	1	1	1
Former I-College students supported through YOT	n/a	n/a	n/a	1
Total no. ongoing work (students) at end of each respective academic year	3	9	8	7
Total no. ongoing work (staff)	n/a	4	3	4
Total staff sessions	n/a	24	36	66
Total no. work concluded because student moving on: Yr. 11s	5	4	5	7
Total no. work concluded because student moving on: Yr.13	3	1	1	3
Total no. work concluded because student moving on: Yr.12	n/a	n/a	1	2

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No. of mainstream students worked with permanently excluded	1	1	0	1
No. of excluded students returning to mainstream	1	3	1	2
No. of students struggled to engage	3	4	4	8
No. of students struggled to engage during Covid-19 lockdown	n/a	n/a	5	1
No. of mainstream students with whom work paused during Covid-19 lockdown	n/a	n/a	4	0
Positive changes in YP-CORE scores	31	18	15	16
Negative changes in YP-CORE scores	2	4	5	5
No change in YP-CORE scores	8	4	5	4
Didn't complete YP-CORE form	n/a	n/a	4	5
Total counselling sessions	401	416	407	468

iCollege Exclusions

	2017/18 (Census May 18)	2018/19 (Census May 19)	2019/20 (Census May 20)	2020/21 (Census May 21)
PEX	0	0	0	0
FXD no SEND	0	2	5	3
FXD with SEND support	0	9	2	3
FXD with EHCP	2	3	0	2
Total	2	14	7	8

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8.3 Appendix C – Guidance Document



Accessing iCollege - Guidance

<p>What does iCollege provide:</p> <p>Provision to the LA to enable it to discharge it's duty for arranging suitable full-time education for permanently excluded pupils, and for other pupils who – because of social and emotional mental health needs would not receive suitable education without such provision. This applies to all children of compulsory school age resident in the local authority area. iCollege delivers this in the form of:</p> <ul style="list-style-type: none"> • Off site reactive 'out-reach' provision to improve mainstream engagement for Key Stages 1 to 4 • Long term placements to support learners achieve personal and academic success for Years 9, 10 & 11 • Bespoke packages including core subjects to meet individual learners needs Key Stages 1 - 5 • Short term pro-active 'in-reach' programmes to sustain mainstream engagement for Key Stage 3 <p>Fixed term exclusion/suspension support for schools and in the case of permanent exclusion, from day 6 provide alternative provision on behalf of the LA (Key Stages 1 – 5)</p>
<p>How to access places:</p> <ul style="list-style-type: none"> • Following the West Berkshire Council Therapeutic Thinking Support and Signpost (SAS) Stepped Approach • All placements result from discussion with appropriate stakeholders such as parent(s)/carer(s), the local authority, Pupil Placement Panel (where appropriate) and individual schools. • Parent(s)/carer(s) are unable to apply directly to iCollege or via West Berkshire Council's School Admissions Team
<p>Long term placements can be accessed through:</p> <ul style="list-style-type: none"> • Secondary Pupil Placement Panel ('PPP') that takes place 9 times per year for non-EHCP learners (PPP paperwork to be completed) • Annual Review Process / SEND Panel for primary and secondary EHCP learners (Annual Review paperwork to be completed) • Direct contact with iCollege for short term 'in-reach' places or 'out-reach' support (iCollege 'request for support' paperwork to be completed) • Places for primary and secondary permanent and fixed term exclusions via liaison with the West Berkshire Council Exclusions Officer
<p>Length of the school day:</p> <p>iCollege complies with statutory guidance issued by the Department of Education</p> <p>The school day runs during 'normal school hours' and is typically between 9am and 3pm. However, this can vary between sites and, according to specific individual learning needs and learning programmes developed to meet those.</p>
<p>Where and what is iCollege:</p> <p>iCollege The Pod is located in Newtown Road, Newbury, RG14 7BQ and is a six-place specialist day provision for learners in years 4 to 6 with SEMH and complex needs. Learners all have an EHCP with SEMH as their primary need. The Pod is a high engagement / low demand learning environment. Staff are trained in, and apply the principles of Therapeutic</p>

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<p>Thinking. Learners are supported to develop their sense of self and learn to manage their behaviours and emotions.</p> <p>iCollege Pod Plus is located in Urquart Road, Thatcham, RG19 4RE and is a 10 place specialist day provision similar to The Pod but for learners in years 7 and 8 with a 'moving on' plan post year 8.</p> <p>iCollege Inspiration is located in Foxglove Way, Thatcham, RG18 4HD and provides short and long term placements for KS1 and 2 learners who are struggling to access mainstream education, including learners who are looking for re-integration back to mainstream or special school following a permanent exclusion or extended fixed-term exclusion. Some learners have EHCPs, but not all. Inspiration also provides an 'out-reach' service to primary schools.</p> <p>iCollege Integration is located at High View, Calcot, RG31 4XD and provides short term placements for KS3 and 4 learners who are struggling to access mainstream education, including learners who are looking for re-integration back to mainstream or special school following a permanent exclusion or extended fixed-term exclusion. Some learners have EHCPs, but not all. Integration also provides an 'out-reach' service to secondary schools.</p> <p>iCollege Intervention is located in Newtown Road, Newbury, RG14 7BQ and provides long term placements for year 9 and 10 learners who follow the National Curriculum and study 3 core subjects; English, maths and science. In addition, they study ICT, art, PSE, and PE as well as having access to drama, music and therapeutic outdoor activities. Learners work in small groups and are often supported 1:1.</p> <p>iCollege Independence is located on Bath Road, Speen, RG14 1QY and provides long term placements for year 11 – 13 learners with a 'complete curriculum' including 8 learning opportunities for all learners. Independence offers learners access to national qualifications at the appropriate levels and an intensive careers / transition programme</p> <p>NB – iCollege is not an all-through school.</p>
<p>Funding:</p> <p>High Needs Block funding - £10k per place / £820k, paid to iCollege at the start of the academic year (66 places plus 6 x Pod and 10 x Pod Plus)</p> <p>Local Authority Funding for long or short term places 21/22 - 50% of top-up funding, unless PEX or special circumstances when 100%</p> <p>Schools for long or short term places 21/22 - 50% top-up funding, unless PEX or special circumstances when 0%</p> <p>EHCP learners – 100% LA if placed via Annual Review / SEND Panel, or 50% schools and 50% LA if negotiated with iCollege outside Annual Review / SEND Panel</p> <p>(CiC from out of area, but living in West Berks without EHCPs are funded by West Berks. CiC with EHCPs are usually funded by the placing 'home' authority)</p>
<p>Top-up Costs 21/22:</p> <p>Band 1 = £112 per day basic rate</p> <p>Band 2 = £148</p> <p>Band 3 = £183</p> <p>Bespoke = negotiation with referring body</p> <p>(Banding 2, 3 and bespoke relates to identified and agreed learner needs, usually only for EHCP learners)</p>
<p>Transport:</p> <p>iCollege complies with the West Berkshire Council's Home to School Transport Policy</p>
<p>Refreshments:</p> <p>iCollege offers all learners a midday meal, breakfast / snacks and tea/coffee/juice during the school day</p>

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8.4 Appendix D – Top Up Rates

West Berkshire Council Schools Pupil Referral Unit & Home Tuition Charges 2022/23						
iCollege TOP UP RATES From 1/4/2022 to 31/3/2023	Daily Rate	Daily Rate Payable by School	Annual Top Up Equivalent for School	Daily Rate Payable by LA (High Needs)	Annual Top Up Equivalent for LA	Annual Equivalent TOTAL per place
Place Funding						10,000.00
Standard Top Up Rate	£112.00					21,280.00
Total Cost per Place						31,280.00
Top Up Charges:-						
Non permanent placements - all phases *		£56.00	10,640.00	£56.00	10,640.00	31,280.00
KS4 curriculum placements *		£56.00	10,640.00	£56.00	10,640.00	31,280.00
Permanent Exclusions		(school pays their basic entitlement formula funding to LA as per the finance regulations)		£112.00	21,280.00	31,280.00
6th Form (for pupils with a EHCP)				£112.00	21,280.00	31,280.00
**SEN placements for SEMH (as per EHCP):						
SEM1				£112.00	21,280.00	31,280.00
						3% increase on daily rate
SEM2				£151.00	28,690.00	38,690.00
						50% average cost of TA support no increase on TA rate
SEM3				£186.00	35,340.00	45,340.00
						100% average cost of TA support no increase on TA rate

* Split between local authority and school to be kept under review

** Note that if a school places a pupil with an existing EHCP in iCollege on a short term placement, the school will be responsible for the charge and the school will continue to receive the top up funding for the pupil. SEMH funding from the LA only applies where a decision has been made by the LA to permanently place the pupil in iCollege or is using iCollege as a holding placement until an alternative high needs placement is found.

The following exceptions to schools paying for placements may apply:

1. Permanent exclusion. LA pays the full rate from the 6th day of the exclusion. Billing to take place no earlier than 15 days after the exclusion.
2. EHCP placement. LA pays the full rate; any top up funding the school receives for the pupil ceases.
3. The LA will consider applications for exceptional funding for a commissioned place at iCollege.

Where it is not clearcut, the Principal Educational Psychologist & Service Manager: Mental Health & Wellbeing will consider the circumstances and decide, reporting all such decisions to the Head of Education.

HOME TUITION RATES From 1/4/2022 to 31/3/2023	Weekly Rate Payable by School (for 10 hours tuition)	Annual Equivalent Rate
(for pupils unable to attend school because of ill health for more than 15 days)		
Primary	£103.66	3,939.08
Secondary	£129.34	4,914.92
Note that transition weeks will be charged at half rate (usually 12 weeks for long term users and 6 weeks for all others)		

High Needs Block Budget 2022/23		
Report being considered by:	Schools' Forum on 14 th March 2022	
Report Author:	Ian Pearson, Jane Seymour, Michelle Sancho, Linda Curtis	
Item for:	Information	By: All Forum Members

1. Purpose of the Report

1.1 This report sets out the current financial position of the high needs budget for 2021/22 and the position as far as it can be predicted for 2022/23, including the likely shortfall.

2. Recommendation

2.1 To note the overall HNB budget for 2022-23.

Will the recommendation require the matter to be referred to the Council or the Executive for final determination?	Yes: <input type="checkbox"/>	No: <input checked="" type="checkbox"/>
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3. Introduction

3.1 Setting a balanced budget for the High Needs Block continues to be a significant challenge. The numbers of high needs pupils and unit costs of provision has continued to rise, place funding has remained static in spite of increasing numbers, and local authorities have taken on responsibility for students up to the age of 25 with SEND in FE colleges without the appropriate funding to cover the actual cost. The number of children with EHCPs is increasing, in spite of the threshold for an EHCP remaining the same and being applied robustly.

3.2 Up until 2016-17, West Berkshire was setting a balanced high needs budget. Since then, the budget has been under pressure on an annual basis. A decision was made to set a deficit budget for the first time in 2016/17.

3.3 The pressure on the high needs block is a national issue, and many local authorities have significant over spends and have also set deficit budgets. South East regional benchmarking data shows that in West Berkshire overspending on the HNB as a % of the total HNB budget is one of the lowest in the region, but nevertheless it is an issue of ongoing concern.

3.4 The Local Authority's statutory duties for children with SEND are effectively open ended in that if a child requires an EHC Plan it must be provided regardless of budgetary constraints. Criteria for initiating an Education, Health and Care assessment are robustly applied by the SEN Panel (which has Headteacher representation on it). However, in spite of robust management of demand, the number of children with EHCPs continues to rise. The total number of EHCPs has increased by 41% since the Children and Families

Act came in in 2014. Most of this increase is in EHCPs in specialist placements rather than mainstream schools, which is primarily what is driving the HNB budget pressure

3.5 The creation of more local provision for children with SEMH and autism, through the SEND Strategy, will alleviate these pressures to some extent, as local maintained provision will be more cost effective than independent and non maintained provision. However, it is also critical that mainstream schools are supported to maintain more children with SEND in mainstream settings if the HNB overspend is to be effectively addressed. This includes in particular children with SEMH and autism. The invest to save projects agreed in 2020-21 and 2021-22 aim to reduce exclusions and demand from schools for children to be placed in alternative specialist placements.

3.6 Tables 2, 3, 4 and 5 in Appendix A show where the predicted 2022-23 costs exceed 2021-22 budgets.

3.7 The net shortfall in the 2022-23 HNB budget, is **£5,980,129**. This includes a predicted 21/22 overspend of £1,860,801 and carried forward overspends of £2,327,100 from previous years. Without the carried forward overspends, the shortfall in 22-23 would be **£1,792,228**.

3.8 These estimates have increased since the January report primarily because the Local Authority no longer receives a separate grant for increases to teachers' pay and pensions in special schools and PRUs; these costs now have to be absorbed within the HNB.

3.9 Details of the services paid for from the high needs budget and the corresponding budget information are set out in Appendix A, together with an explanation of the reasons for budget increases.

4. Summary Financial Position

4.1 The latest estimate of expenditure in the High Needs Block budget for both 2021/22 and 2022/23 is set out in Table 1. The figures are based on current and anticipated numbers of high needs pupils. They assume no change in top up funding rates for EHCPs in West Berkshire schools.

4.2 Most of the DSG allocation for the high needs block is now confirmed. Part of it is estimated and will be based on the actual number of pupils in special schools in the October 2021 census, and import/export adjustments based on the January 2021 census and February 2021 ILR.

TABLE 1	2021/22 Budget £	2021/22 Forecast £	2022/23 Estimate £
Place Funding	6,141,000	6,492,050	6,568,050
Top Up Funding	14,749,150	14,756,330	16,497,950
PRU Funding (top ups only)	1,393,370	1,574,552	1,597,160
Other Statutory Services	1,621,260	1,635,340	1,851,200
Non Statutory Services	1,385,814	1,387,625	1,321,055
Additional Invest to Save projects	0	0	300,200
Support Service Recharges	188,790	188,790	191,506
Total Expenditure	25,479,384	26,034,687	28,327,121

HNB DSG Allocation	-23,625,318	-23,625,318	-26,234,693
0.25% Schools Block Transfer Existing Invest to save projects	-274,284	-274,284	-300,200
0.25% Schools Block Transfer New Invest to save projects	-274,284	-274,284	
In year overspend	1,305,498	1,860,801	1,792,228
HNB DSG Overspend from previous years	2,780,880	2,327,100	4,187,901
Total cumulative deficit	4,086,306	4,187,901	5,980,129

4.3 There is a forecast shortfall of **£1,792,228** in the 2022/23 HNB.

Appendix A sets out the detail of the budgets included within the High Needs Block, and the reasons for the pressure on the 2022-23 HNB budget.

Appendix B sets out actual out turn figures for the last three years, as requested by Forum members.

5. Appendices

Appendix A – High Needs Budget detail

Appendix B – Outturn actuals 2018/19 to 2020/21

Appendix A

High Needs Budget Detail

1. PLACE FUNDING – STATUTORY

- 1.1 Place funding is agreed by the Education and Skills Funding Agency (ESFA) and has to be passed on to the institution, forming their base budget. Academy and FE places are included in the initial HNB allocation but the agreed place numbers are then deducted and paid to the institution direct (DSG top slice). In 2018/19 pre 16 resource unit place funding was reduced from £10,000 to £6,000 per place, and each pupil within the unit was included in the main school formula funding allocation.
- 1.2 The ESFA will not fund any overall increases to places. If additional places are needed in academies or FE colleges, a request can be made to the ESFA. However, any additional places agreed would be top sliced from West Berkshire's HNB allocation in 2022-23; no additional funding is made available.
- 1.3 In total the allocated planned places in 2020-21 are 734 and for 2022-23 they will be 747. At the time of the November HNB report, there had been some increases and decreases to place funding which can be changed (academies and FE colleges) with an overall net reduction of 1 place and therefore a slight decrease in expenditure. However, since then it has come to light that there a post 16 training provider has relocated to West Berkshire which means that place funding is the legal responsibility of the Council as the "host" Local Authority, under ESFA rules. This has resulted in a net increase of 13 planned places which will need to be funded from this budget.
- 1.4 As it is not possible to request increased planned place funding for maintained schools, any increase in place funding needed which is over and above the number of places set out below has been allowed for in the relevant top up budgets, creating additional pressure on those budgets.

TABLE 1 - Place Funding Budget	2021/22 Budget			2022/23 Estimated Budget		
	No. of Places	£	Current No. of Pupils	Proposed No. of Places	£	Difference in number
Special Schools pre 16 (90540) –	286	2,860,000	440	286	2,860,000	0
Special Schools post 16 (90546) –	79	790,000		79	790,000	0
Resource Units Maintained – pre 16 (90584)	35	226,000	31	35	226,000	0
Special Schools and PRU Teachers Pay and Pension		312,050			312,050	

Resource Units Academies – pre 16 (DSG top slice)	99	622,000	111	102	638,000	3
Mainstream Maintained – post 16 (90551)	5	38,000	11	6	44,000	1
Mainstream Academies – post 16 (DSG top slice)	31	186,000	35	30	180,000	-1
Further Education	133	798,000		143	858,000	10
PRU Place Funding (90320)	66	660,000	84	66	660,000	0
TOTAL	734	6,492,050		747	£6,568,050	13

2. TOP UP FUNDING – STATUTORY

2.1 Top up funding is paid to the institutions where we are placing pupils who live in West Berkshire (we do not pay our institutions top up funding for pupils who live outside West Berkshire). **Table 2** shows the budget and forecast for 2021/22 and the estimate for 2022/23.

TABLE 2 Top Up Budgets	2020/21 Budget		2021/22 Budget			2022/23	Difference 21/22 budget & 22/23 prediction
	Budget £	Outturn £	Budget £	Forecast £ (Month 10)	Over/ (under) £	Estimate £	
Special Schools Maintained (90539)	3,986,360	4,014,247	4,403,120	4,891,450	488,330	4,924,490	+521,370
Non WBC special schools (90548)	1,194,300	862,361	1,324,500	1,079,300	-245,200	620,810	-703,690
Non WBC free schools (90554)	-	-	-	-	-	331,700	+331,700
Resource Units Maintained (90617)	313,650	285,803	314,000	319,200	5,200	314,000	0
Resource Units Academies (90026)	948,280	1,016,637	1,113,300	1,006,300	-107,000	1,000,000	-113,300
Resource Units Non WBC (90618)	130,600	191,997	170,540	198,640	28,100	180,640	+10,100
Mainstream Maintained (90621)	779,450	790,047	818,660	931,620	112,960	850,000	+31,340
Mainstream Academies (90622)	389,600	412,090	423,560	503,510	79,950	510,000	+86,440
Mainstream Non WBC (90624)	70,590	138,703	160,510	209,030	48,520	161,780	+1,270
Non Maintained Special Schools (90575)	1,068,200	986,016	1,007,880	943,270	-64,610	1,114,000	+106,120
Independent Special Schools (90579)	2,797,000	2,636,088	3,535,280	3,389,060	-146,220	4,656,200	+1,120,920
Further Education (90580)	1,087,730	993,861	1,437,800	1,236,300	-201,500	1,016,940	-420,860

Disproportionate HN Pupils (90627)	100,000	33,550	40,000	48,650	8,650	42,000	+2,000
New SEMH Provision at Theale	-	-	-	-	0	775,390	+767,020
TOTAL	12,865,760	12,361,399	14,749,150	14,756,330	7,180	16,497,950	+1,748,800

2.2 There have been savings on Non West Berkshire special schools (placements in other Local Authorities' maintained special schools), and also on placements in FE Colleges, amounting to over £1M.

2.3 However, a number of other top up budgets are under pressure resulting in a budget requirement in 2022-23 in excess of this year's budget allocation by £1,748,800.

2.4 It should be noted that £775,390 of this is accounted for by the new secondary SEMH provision in Theale opening in September 2022 (see para 2.11 below).

2.5 The top up budgets under most pressure are as follows:

- Independent special schools
- Maintained special schools
- Maintained and academy mainstream EHCPs
- Free special schools
- Non maintained special schools

2.6 The predictions of cost for 2022-23 take in to account known pupils whose needs can no longer be met in local schools, together with some cases which are due to go to the SEND Tribunal. It is not possible to predict all pupils who may need placements in 2022-23. The figures assume a middle ground between the best case scenario and the worst case scenario (financially) in terms of Tribunal outcomes.

2.7 The estimated budget requirement for top up costs for 2022-23 is £16,497,950 which exceeds the figure reported in November 2021 by £277,800. This is mainly due to some additional non maintained / independent school placements.

2.8 Independent special schools

This is by far the greatest pressure in the top up budgets. The pressure reflects a number of factors including the fact that some highly complex children have needed to be placed in very expensive placements in 21-22 and so have only incurred part year costs this year, but will incur full year costs in 22-23. In addition there are a number of anticipated new placements for children with a variety of needs including SEMH and Autism, but also some very high cost children whose needs can no longer be met in local or other LA maintained special schools or at home. (Some of these will be joint funded placements with Social Care or Health).

2.9 West Berkshire maintained special schools

This pressure reflects increasing numbers in our special schools, the need to compensate for inadequate planned place funding through the top up budget and some very high needs pupils needing additional support to maintain their placements. As there is no additional planned place funding for special schools, the extra planned place funding has been allowed for in this budget.

2.10 Mainstream top ups (maintained and academies)

This increase reflects an increasing number of EHCPs in mainstream schools. It should be noted that EHCP top up values for mainstream schools (including resourced schools) have not been increased since 2013. The budget proposed for 2022-23 does not allow for any increase in EHCP bandings, but the HFG may wish to consider whether it would like to increase these values.

2.11 Free special schools

The free special schools used by West Berkshire Council are schools for children with autism. These schools tend to be used for children whose needs cannot be met by our own resourced ASD provision in mainstream schools. Fees are generally lower than those of independent special schools.

2.12 Non maintained special schools

The increase is accounted for by children moving in to the area already placed in non maintained special schools and a pending Tribunal case for a specialist placement.

2.13 New Secondary SEMH Provision in Theale

The Forum will be aware from previous reports that planning has been taking place since 2019 for a new 42 place provision for young people with complex emotional needs who may have a diagnosis of autism. This provision will be managed by The Castle School and will be based on the site of the old Theale Primary School. The provision is on target to open in September 2022 with 12 pupils initially. A revenue budget for the provision has been developed by the Local Authority in partnership with The Castle School and based on an agreed staffing model. Unit costs will inevitably be disproportionately high in the early years of opening due to low numbers, but will reduce over time to a level which is significantly lower than the average cost of an equivalent external placement. (approximately £44K compared to £62K). The case for and savings associated with this provision have been set out in previous reports to the Forum. All 12 of the students who will transfer to the new provision in September 2022 are likely otherwise to have been placed in external placements.

3. PUPIL REFERRAL UNITS (PRU) – STATUTORY

3.1 **Table 3** shows the budgets for PRU top ups.

TABLE 3	2020/21 Budget		2021/22 Budget			2022/23	Difference 21/22 budget & 22/23 prediction
	Budget £	Outturn £	Budget £	Forecast £ (Month 10)	Over/ (under) £	Estimate £	
PRU Budgets							
PRU Top Up Funding (90625)	818,400	807,074	821,920	821,920	0	830,140	+8,220

PRU EHCP SEMH Placements (90628)	557,520	581,965	571,450	725,632	181,182	767,020	+195,570
Non WBC PRU Top Up Funding (90626)	0	0	0	0	0	0	0
TOTAL	1,375,920	1,389,039	1,393,370	1,574,552	181,182	1,597,160	+203,790

3.2 The current year budget was based on the previous year's forecast. Schools Forum agreed to pilot a 50% contribution from schools for pupils that they placed. Heads have requested that this contribution remains until a review in March 2022. Permanent exclusions and sixth form are funded 100% by the High Needs Block less the average pupil led funding contribution recovered from schools. The estimate for 22/23 PRU Top Up Funding is based on the profile of pupils at I-College in the summer term and shows a slight increase in budget by £8,220.

3.3 The number of pupils with EHCPs being placed in PRUs is increasing as this can be an appropriate and cost effective provision for some young people if they are not able to remain in their mainstream schools. A new provision for pupils with EHCPs was set up in autumn 2019, The Pod, and a further Pod Plus provision was set up in September 2021. These placements are usually more cost effective than independent and non-maintained special school placements. The budget increase includes provision for additional planned places not funded by the ESFA.

3.4 The budget estimate for 2022-23 has not changed since the November 2021 HNB report.

4. OTHER STATUTORY SERVICES

4.1 **Table 4** details the budgets for other statutory services.

TABLE 4 Other Statutory Services	2020/21 Budget		2021/22 Budget			2022/23	Difference 21/22 budget & 22/23 prediction
	Budget £	Outturn £	Budget £	Forecast £ (Month 10)	Over/ (under) £	Estimate £	
Applied Behaviour Analysis (90240)	136,580	146,790	150,470	201,990	51,520	167,910	+17,440
Sensory Impairment (90290)	227,590	250,944	247,860	244,750	-3,110	243,900	-3,960
SEN Commissioned Provision (90577) Engaging Potential	567,650	558,395	584,480	583,050	-1,430	584,480	0
Equipment for SEN Pupils (90565)	15,000	25,972	15,000	15,000	0	15,000	0
Therapy Services (90295)	261,470	259,327	314,500	314,500	0	323,820	+9,320

Elective home Education Monitoring (90288)	28,240	20,291	28,240	26,240	-2,000	29,310	+1,070
Medical Home Tuition (90282)	205,000	138,626	172,730	142,730	-30,000	178,160	+5,430
Hospital Tuition (90610)	39,050	19,850	39,280	55,280	16,000	39,950	+670
SEND Strategy (DSG) (90281)	61,060	40,137	68,700	51,800	-16,900	60,740	-7,960
Education of children with Health Needs	0	0	0	0	0	71,930	+71,930
Medical tuition for Children with Health Needs	0	0	0	0	0	136,000	+136,000
TOTAL	1,541,640	1,460,332	1,621,260	1,635,340	14,080	1,851,200	+229,940

4.2 Applied Behaviour Analysis (ABA)

4.2.1 This budget supports a small number of children with EHC Plans for whom the Authority has agreed an ABA programme. ABA is an intensive intervention programme for children with autism which aims to modify behaviours which are typical of ASD in order to allow children to function more successfully in school and in society. The budget also covers the cost of children with EHC Plans accessing other bespoke packages where this is the most appropriate and cost effective way of meeting their needs, including SEN Personal Budgets. This budget needs to increase slightly due to increasing numbers of children with SEN Personal Budgets. However, it should be noted that SEN Personal Budgets can be a very cost effective alternative to non-maintained and independent special schools.

4.3 Sensory Impairment

4.3.1 Support for children with hearing, visual and multi-sensory impairments is purchased from the Berkshire Sensory Consortium Service. This includes support from qualified teachers of HI and VI, audiology and mobility support. There will be a small reduction in budget needed next year due to a slight drop in numbers.

4.4 Engaging Potential

4.4.1 Engaging Potential is an independent special school commissioned to provide alternative educational packages for 14 young people in Key Stage 4. Students placed at Engaging Potential are those who have EHC Plans for social, emotional and mental health difficulties and whose needs cannot be met in any other provision. This can include young people who have been excluded from specialist SEMH schools. The unit cost of a place represents good value for money compared to other independent schools for SEMH. No increase in cost is anticipated for 2022-23.

4.5 Equipment for SEN Pupils

4.5.1 This budget is used to fund large items of equipment such as specialist chairs and communication aids for pupils with EHC Plans. The budget has been reduced a number of times in previous HNB savings programmes and was removed entirely in 2018-19 on the basis that schools would meet these costs. However, this created a pressure for nurseries as they do not have delegated SEN budgets, and for resourced schools which have a disproportionate number of children with specialist equipment needs. It was agreed in 2018-19 that a budget of £10,000 would be made available to meet these needs. In 2019-20 it was agreed that the budget should be

increased again to £15,000 as demand for equipment for children in nurseries and resourced schools was increasing. It is recommended that the budget stays the same for 2022-3, as although this is a budget which does come under pressure, we have successfully negotiated with Health to fund 50% of specialist seating in schools which is starting to reduce pressure on this budget.

4.6 Therapy Services (Contract with Berkshire Healthcare Foundation Trust)

4.6.1 The therapy services budget covers the costs for children with SEN who have speech and language therapy or occupational therapy in their EHC Plans.

4.6.2 Therapy services are provided by the Authority solely to children who have the need for a service stipulated and quantified in their EHC Plan. It is a statutory duty for the Local Authority to provide these therapies in these circumstances. The service is commissioned from the Berkshire Healthcare Foundation Trust. There will be a slight rise in the cost of the contract in 2022-23 due to inflation.

4.6.3 It should be noted that this contract ends in August 2022 and is currently being retendered. Retendering could result in an increase or decrease in cost, but as the outcome of the process is not yet known, costs have been assumed at current levels.

4.7 Elective Home Education (EHE) Monitoring

4.7.1 There is a statutory duty for Local Authorities to monitor arrangements for EHE made by parents. The EHE monitoring sits within the Education Welfare and Safeguarding Service. The Elective Home Education Officer is 0.6fte and was a new post for September 2019. EHE numbers have been growing, both locally and nationally over recent years but since September 2020 there has been a steep rise in numbers due to COVID-19. The number of new EHE pupils continue to be a concern; there were 16 pupils deregistered from schools in September 2021, a fall from the previous September which was 34, but still much higher than pre-pandemic numbers.

4.8 Medical Tuition Service

4.8.1 The Medical Tuition Service (previously Home Tuition Service) is a statutory service providing home tuition to children with medical conditions and illness that prevent them accessing full-time school. This service was moved from i-College to the Local Authority with effect from September 2019. Since transferring from i-college, savings of £67k have been achieved in the service.

4.9 Hospital Tuition

4.9.1 The Local Authority is obliged to pay the educational element of specialist hospital placements, usually for severe mental health issues. These placements are decided by NHS colleagues and we have no influence over the placement or duration of stay. As numbers and costs are impossible to predict, it is proposed that the 2022-23 budget remains the same as 2021-22. There is a small increase due to inflation increases in salaries for the proportion of staff time administering this service

4.10 SEND Strategy Officer

4.10.1 In 2019-20 the Schools Forum agreed to fund a SEND Strategy Officer for three years initially to support implementation of the SEND Strategy 2018-23. Agreement was given by the Schools Forum in October 2020 that this post could be made permanent in order to attract and retain candidates of a suitable calibre. The slight reduction is due to an appointment on a lower scale point than was budgeted for.

4.11 Education of children with health needs

4.11.1 Local Authorities are under a duty to provide full time education for children with certified health needs after 15 days of absence. This service is provided for children with physical health needs where required, but the legislation also applies to children with mental health needs/anxiety who are emotionally based school avoiders. The number of local presenting cases has increased and it is important not to find ourselves in the position of other authorities who have been fined for not meeting this duty. The budget allocated for this includes capacity to oversee and monitor these cases, as well as funding for medical tuition or other appropriate educational support.

4.12 The total estimated budget requirement for other statutory services in 2022-23 is £1,851,200. This exceeds the estimate in the November 2021 HNB report by £26,760 due to a combination of additional SEN Personal Budgets and the inflationary cost increase in the therapies contract.

5 NON STATUTORY Services

5.1 Table 5 details the non-statutory service budgets for 2020-21, 2021-22, and estimates for 2022-23.

5.2 The table shows the budget for these services in 2022/23 assuming that the services continue and there are no changes to staffing levels.

5.3 Table 5 also includes ongoing funding for the “invest to save” initiatives agreed in 2020-21 and 2021-22. The continuation of these services is critical to the deficit recovery strategy set out in a separate report.

TABLE 5	2020/21 Budget		2021/22 Budget			2022/23	Difference 21/22 budget & 22/23 prediction
	Budget £	Outturn £	Budget £	Forecast £ (Month 10)	Over/ (under) £	Estimate £	
Non Statutory Services							
Language and Literacy Centres LALs (90555)	116,200	114,900	122,000	122,000	0	135,740	+13,740
Specialist Inclusion Support Service (90585)	50,000	50,000	50,000	50,000	0	50,000	0
PRU Outreach Service (90582)	61,200	61,200	61,200	61,200	0	61,200	0
Early Years Inclusion Fund (90238) moved to EY Block	0	0	0	0	0	0	0
Cognition and Learning Team(90280)	308,130	306,671	328,100	328,100	0	334,140	+6,040
ASD Advisory Service (90830)	150,390	129,415	170,430	170,430	0	174,080	+3,650
Vulnerable Children (90961)	50,000	45,804	50,000	50,000	0	50,000	0

Early Development and Inclusion Team (90287)	51,950	48,965	58,375	58,375	0	62,505	+4,130
Dingley's Promise (90581)	30,000	30,000	30,000	30,000	0	30,000	0
<u>Invest to save projects</u>							
Therapeutic Thinking post (90372)	58,000	51,214	54,300	54,300	0	55,900	+1,600
Additional Vulnerable Children Grant (90961)	129,400	129,400	129,400	129,400	0	129,400	0
ASD Team - Additional High Level TA Support (90830)	58,000	12,320	59,540	59,540	0	61,560	+2,020
ASD Fund - Additional support (90830)	0	0	52,690	52,690	0	52,690	0
Emotionally Based School Avoidance (EBSA) – WBC managed	0	0	121,730	121,730	0	123,840	+2,110
Emotionally Based School Avoidance (EBSA) – secondary school managed	0	0	99,860	99,860	0	0	-99,860
Additional invest to save projects (SB Transfer)	0	0	0	0	0	300,200	+300,200
TOTAL	1,063,270	979,888	1,387,625	1,387,625	0	1,621,255	+233,630

5.4 Language and Literacy Centres (LALs)

5.4.1 The LALs provide 48 places per year for Year 5 students who have persistent difficulties with literacy and need an intensive programme delivered by a teacher qualified in specific literacy difficulties.

5.4.2 The increase proposed to the LAL budgets relates to the budgets not currently meeting costs of the host schools including the salary costs of the teachers.. In previous years this has been covered off by carried forward amounts but these funds have now been exhausted.

5.5 Specialist Inclusion Support Service

5.5.1 This service provides outreach support from West Berkshire's special schools to mainstream schools to support the inclusion of children with learning and complex needs in their local mainstream schools.

5.5.2 This budget has been subject to reductions in the previous financial years with the special schools providing the service absorbing the cost.

5.6 PRU Outreach

5.6.1 The PRU Outreach Service offers consultancy / outreach support mainly to students who have been attending the iCollege and are starting to attend a mainstream school. Schools may request Outreach for any pupil causing concern but it is dependent on capacity.

5.7 Cognition and Learning Team

5.7.1 The Cognition and Learning Team (CALT) provides advice, support and training to mainstream schools to help them to meet the needs of children with SEN. Staff are experienced SENCOs with higher level SEN qualifications.

5.7.2 Many primary schools are reliant on this service to supplement their own SEN provision and expertise, especially schools where the Head has to act as SENCO or where there is an inexperienced SENCO.

5.7.3 This is a partially traded service. All schools receive a small amount of free core service, but the majority of support now has to be purchased by schools.

5.7.4 The additional cost represents teachers' salary increases, pension and NI.

5.8 ASD Advisory Service

5.8.1 The ASD Advisory Service provides advice, support and training for mainstream schools on meeting the needs of children with Autistic Spectrum Disorder. The purpose of the service is to enable children with ASD to be successfully included in mainstream schools wherever possible.

5.8.2 The context for this service is vastly increasing numbers of children with ASD diagnoses and mainstream schools having more and more difficulty meeting the needs of these children. The majority of our placements in non-West Berkshire special schools, independent special schools and non-maintained special schools are for children with ASD.

5.8.3 The increase in cost represents teachers' salary increases, pension and NI.

5.9 Vulnerable Children

5.9.1 The Vulnerable Children Fund is a budget used to help schools support their most vulnerable pupils on an emergency, unpredicted or short term basis.

5.9.2 The budget was gradually reduced from £120K over a number of years. This has always been a well used resource that helps schools support vulnerable pupils with complex needs.

5.9.3 It was agreed in 2020-21 that this budget would be increased, as an invest to save initiative, in order to support the roll out of Therapeutic Thinking in West Berkshire schools. This was further extended in 2021-22. The increase of £129,000 is shown separately in Table 5 under Invest to Save initiatives.

5.10 Early Development and Inclusion Team

5.10.1 The service comprises of 1.7 teachers who are specialists in early years and SEND. Children under 5 who are identified by Health professionals as having significant SEND are referred to this service. Staff initially visit children in their homes (if they

are not yet in an early years setting) in order to promote their educational development and model strategies and resources for parents to use to support their child's progress.

5.10.2 EDIT teachers also assist with the transition to early years settings and schools, providing support and training for staff to help them to meet the child's needs, and continuing to visit for a period of time to provide ongoing support and advice. They also help to coordinate support which the family is receiving from other professionals.

5.10.3 The service is currently supporting over 100 children. It has been reduced in size in recent years from 3.4 to 1.7 staff. The service has a waiting list due to increased demand and reduced capacity.

5.11 Dingley's Promise

5.11.1 Dingley's Promise is a charitable organisation which provides pre-school provision for children under 5 with SEND in West Berkshire, Reading and Wokingham. It is the only specialist early years SEND setting in the private, voluntary and independent early years sector in West Berkshire. It provides an alternative to mainstream early years settings, where experience and expertise in SEND can vary greatly. Parents are able to take up their early years entitlement at Dingley's Promise, rather than at a mainstream early years setting, if they wish. However, Dingley's Promise are only able to claim the standard hourly rate for providing the early years entitlement as mainstream settings, in spite of offering specialist provision, higher ratios and more one to one support.

5.11.2 In 2017-18, the service was running at a loss and there was a risk it would cease to be viable in this area without some Council funding. It was agreed in 2018-19 that a grant of £30,000 would be made to Dingley's Promise in order to maintain the service in this area.

5.12 Invest to Save projects

5.12.1 A report was brought to the HFG on 6th October concerning the Invest to Save projects funded in 2020-21 and 2021-22.

5.12.2 The report outlined the impact of the 2021 Invest to Save projects including savings achieved.

5.12.3 The report gave brief details on the 2021-22 Invest to Save projects which were put in place in September 2021.

5.12.4 Savings from the therapeutic thinking initiative and increased vulnerable children grant, against cost of the initiative, are shown in Tables 6(a), 6(b) and Table 7 below.

Table 6(a)

Savings in core group of 13 primary schools who have fully adopted therapeutic thinking

Strategy	Number avoided	Average cost	Saving
Permanent exclusion	3	£20,000	£60,000

Alternative placement	3	£20,000	£60,000
Specialist SEND placement	2	£62,000	£124,000
Total	8		£244,000

Table 6(b)

Savings in a wider group of schools as a result of “small gardens”

Strategy	Number avoided	Average cost	Saving
Permanent exclusion	4	£20,000	£80,000
Alternative placement	6	£20,000	£120,000
Specialist SEND placement	7	£62,000	£434,000
Total	17		£634,000

Table 7

Total estimated savings compared to invest to save budget for therapeutic thinking and increased VCG.

Invest to Save cost		Saving	Difference
Therapeutic thinking post	£54,300		
Increased VCG	£129,400		
Total	£183,700	£878,000	£695,000

5.12.5 Savings from the Autism TA project are set out in Tables 8 and 9 below.

Table 8

Savings resulting from intensive intervention from Autism HLTAs

Impact of not intervening	Number avoided	Average cost	Saving
Child becoming L4 EBSA	3	£62,000	£186,000
Specialist SEND placement	3	£62,000	£186,000
Total			£372,000

Table 9

Total estimated savings compared to invest to save budget for Autism TAs

Invest to Save cost		Saving	Difference
Autism TAs	£59,540		
Total	£59,540	£372,000	£312,460

5.12.6 It is proposed that the projects initially agreed in 2020-21, ie, therapeutic thinking, increased VCG and Autism TAs are now funded permanently through the HNB given the impact and savings which have been demonstrated.

5.12.7 Invest to save projects agreed in 2021-22 included

- An Autism Fund of £52,690 for allocation to schools to support children at risk of exclusion / requiring alternative placement
- £121,730 to support children who are emotionally based school avoiders in order to avoid specialist placements. This was for primary age children only (and one secondary school which bought in to the scheme). The funding pays for a 0.25 EP, A 0.5 EWO/Coordinator and a 0.5 EHA worker, all appointed in September 2021, in addition to creating a fund of £56,157 for allocation to schools via the EBSA Forum.

5.12.8 It is proposed that the invest to save projects agreed in 2021-22 are funded initially for one further year in 2022-23, pending a full evaluation in autumn 2022. If the Forum agrees to delegate funding to secondary schools in 2022-23 to support young people who are emotionally based school avoiders, the schools in receipt of funding will be asked to report on impact at the same time as the Local Authority reports on impact of centrally funded initiatives.

5.13 Schools Block Transfer 2022-23

5.13.1 Following consultation with schools, it has been agreed that a transfer of 0.25% of the Schools Block will be made to the High Needs Block in 2022-23. This amounts to £300,200.

5.13.2 The proposed use of these funds is covered in a separate report.

Appendix B

Outturn figures from 2018/19 to 2020/21

TABLE 1			
Place Funding	2018/19 £	2019/20 £	2020/21 £
Special Schools – pre 16 (90540)	2,860,000	2,860,000	2,860,000
Special Schools – post 16 (90546)	0	526,666	790,000
Resource Units Maintained – pre 16 (90584)	242,000	234,000	222,000
Mainstream Maintained post 16 (90551)	0	20,000	30,000
PRU Place Funding (90320)	660,000	660,000	660,000
TOTAL	3,762,000	4,300,666	4,562,000

TABLE 2			
Top Up Funding	2018/19 £	2019/20 £	2020/21 £
Special Schools Maintained (90539)	3,383,249	3,749,817	4,014,247
Non WBC special schools (90548)	1,009,156	920,557	862,361
Resource Units Maintained (90617)	274,236	312,583	285,803
Resource Units Academies (90026)	822,634	826,870	1,016,637
Resource Units Non WBC (90618)	126,702	164,744	191,997
Mainstream Maintained (90621)	658,073	822,349	790,047
Mainstream Academies (90622)	247,075	360,616	412,090
Mainstream Non WBC (90624)	78,343	79,555	138,703
Non Maintained Special Schools (90575)	747,940	911,178	986,016
Independent Special Schools (90579)	2,218,567	2,205,989	2,636,088
Further Education (90580)	1,270,010	1,141,252	993,861
Disproportionate HN Pupils (90627)	83,609	68,001	33,550
TOTAL	10,919,594	11,563,511	12,361,400

TABLE 3			
PRU Funding	2018/19 £	2019/20 £	2020/21 £
PRU Top Up Funding (90625)	800,225	871,370	807,074
PRU EHCP SEMH Placements (90628)	223,699	505,724	581,965
TOTAL	1,023,924	1,377,094	1,389,039

TABLE 4			
Other Statutory Services	2018/19 £	2019/20 £	2020/21 £
Applied Behaviour Analysis (90240)	116,192	136,178	146,790
Sensory Impairment (90290)	241,928	228,079	250,944
SEN Commissioned Provision (90577)	487,772	515,446	558,395
Equipment for SEN Pupils (90565)	11,954	8,429	25,972
Therapy Services (90295)	276,331	244,291	259,327
Home Tuition (90315)	230,567	71,277	0
Elective home Education Monitoring (90288)	22,801	21,603	20,291
Medical Home Tuition (90282)	0	90,601	138,626
Hospital Tuition (90610)	37,390	16,345	19,850
SEND Strategy (DSG) (90281)	0	33,015	40,137
TOTAL	1,424,935	1,365,264	1,460,332

TABLE 5			
Non Statutory Services	2018/19 £	2019/20 £	2020/21 £
Language and Literacy Centres LALs (90555)	93,800	81,595	114,900
Specialist Inclusion Support Service (90585)	50,000	50,000	50,000
PRU Outreach Service (90582)	61,200	61,200	61,200
Early Years Inclusion Fund (90238) moved to EY Block	0	0	0
Cognitive and Learning Team (90280)	309,706	319,240	306,671
ASD Advisory Service (90830)	140,063	153,307	141,735
Vulnerable Children (90961)	50,000	50,000	175,204
Behaviour Programme (Invest to Save) (90370)	22,818	56,304	0
PPEP Care Programme (90371)	4,800	3,880	0
Early Development and Inclusion Team (90287)	40,000	40,000	48,965
Dingley's Promise (90581)	30,000	30,000	30,000
Therapeutic Thinking (90372)	0	0	51,214
TOTAL	802,387	845,526	979,889

Deficit Schools

Report being considered by: Schools Forum on 14 March 2022

Report Author: Melanie Ellis

Item for: Information **By:** All Maintained Schools Representatives

1. Purpose of the Report

1.1 This report provides details of:

- (1) The two schools which ended the 2020/21 financial year with unlicensed deficit balances due to Covid-19.
- (2) The five schools which submitted deficit budgets for 2021/22.
- (3) Schools that have informed West Berkshire Council they now expect to end the 2021/22 financial year with an unlicensed deficit balance.

2. Recommendation(s)

2.1 That the report be noted.

Will the recommendation require the matter to be referred to the Council or the Executive for final determination?	Yes: <input type="checkbox"/>	No: <input checked="" type="checkbox"/>
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3. Schools ending 2020/21 with an unlicensed deficit

3.1 Two schools ended the financial year 2020/21 with unlicensed deficits.

School	2019/20		2020/21	
	Budget	Actual	Budget	Actual
	Surplus/(Deficit)		Surplus/(Deficit)	
Basildon Primary School	£6,130	(£6,040)	£7,790	(£3,831)
Spurcroft Primary School	£143,170	£103,681	£13,470	(£40,624)

3.2 The 2021/22 budget submissions showed Basildon coming out of deficit in 2021/22 and Spurcroft in 2022/23.

School	Budget Submission 2021/22		
	Budgeted Closing Balance		
	2021/22	2022/23	2023/24
Basildon Primary School	£18,950	£42,819	£76,955
Spurcroft Primary School	(£29,637)	£28,401	£72,965
TOTAL SURPLUS/(DEFICIT)	(£10,687)	£71,220	£149,920

- 3.3 Both schools submitted their Period Nine and Ten Budget Monitoring and Forecast reports. The Period Ten submissions are shown in the table below with both schools forecasting to end 2021/22 in a worse financial position than budgeted.

School	Main School Budget (MSB)			Out of Hours Club (OoHC)			COMBINED
	2021/22 Original Budget Year-end surplus/ (deficit)	2021/22 P10 Forecast Year-end surplus/ (deficit)	Variance	2021/22 Original Budget Year-end surplus/ (deficit)	2021/22 P10 Forecast Year-end surplus/ (deficit)	Variance	2021/22 P10 Forecast Year-end surplus/ (deficit)
	A	B	B-A=C	D	E	E-D=F	B+E
Basildon Primary	£18,950	(£29,656)	(£48,606)	£2,640	£7,925	£5,285	(£24,371)
Spurcroft Primary	(£29,670)	(£52,822)	(£23,152)	£900	(£10,142)	(£11,042)	(£62,964)
<i>Note: Deficit on OoHC will be transferred to MSB at end of financial year</i>							

4. Licensed Deficit Schools

- 4.1 Five schools submitted a WBC Deficit Budget License Application for the financial year 2021/22. Three of the five had licensed deficits in the financial year 2020/21.

School	2019/20		2020/21		2021/22	
	Budget	Actual	Budget	Actual	Budget	Forecast P9
	Surplus/(Deficit)		Surplus/(Deficit)		Surplus/(Deficit)	
Beenham Primary School	(£24,060)	(£33,847)	£110	(£363)	£1,170	£2,458
Inkpen Primary School	£6,110	£15,767	£5,850	£8,819	(£14,570)	(£28,977)
Kintbury Primary School	£1,530	£47,570	£24,750	£30,085	(£62,440)	(£48,637)
Mrs Blands Infant & Nursery School	£0	(£32,526)	(£36,820)	(£12,613)	£22,290	£49,977
St Finians RC Primary School	(£77,150)	(£40,599)	(£24,310)	(£20,657)	£4,820	(£7,054)
Total	(£93,570)	(£43,635)	(£30,420)	£5,271	(£48,730)	(£32,233)

The 2021/22 budget submissions are shown in below:

School	Budget Submission 2021/22			
	Budgeted Closing Balance			
	2021/22	2022/23	2023/24	2024/25
Beenham Primary School	£1,170	(£15,545)	(£37,742)	(£40,656)
Inkpen Primary School	(£14,570)	(£10,709)	(£15,014)	£20,698
Kintbury Primary School	(£62,440)	(£46,959)	£8,005	£26,688
Mrs Blands Infant & Nursery School	£22,290	£8,990	£12	(£16,984)
St Finians RC Primary School	£4,820	£2,587	£9,183	£8,174
TOTAL SURPLUS/(DEFICIT)	(£48,730)	(£61,636)	(£35,556)	(£2,080)

- 4.2 All five schools submitted their Period Nine Budget Monitoring and Forecast report. These are shown in the table below with three schools in a better financial position and two in a worse position than budgeted.

School	Main School Budget (MSB)			Out of Hours Club (OoHC)			COMBINED
	2021/22 Original Budget Year-end surplus/ (deficit)	2021/22 P9 Forecast Year-end surplus/ (deficit)	Variance	2021/22 Original Budget Year-end surplus/ (deficit)	2021/22 P9 Forecast Year-end surplus/ (deficit)	Variance	2021/22 P9 Forecast Year-end surplus/ (deficit)
	A	B	B-A=C	D	E	E-D=F	B+E
Beenham Primary	£1,170	£4,371	£3,201	£0	(£1,580)	(£1,580)	£2,791
Inkpen Primary	(£14,570)	(£28,977)	(£14,407)	£0	£0	£0	(£28,977)
Kintbury Primary	(£62,440)	(£48,637)	£13,803	£0	£0	£0	(£48,637)
Mrs Blands Infant & Nursery	£22,290	£49,977	£27,687	£0	£20,174	£20,174	£70,151
St Finians Primary	£4,820	(£7,054)	(£11,874)	£0	£0	£0	(£7,054)

Note: Deficit on OoHC will be transferred to MSB at end of financial year

5. Schools that expect to end 2021/22 with an unlicensed deficit balance

- 5.1 Two primary schools, in addition to Basildon and Spurcroft above, have informed West Berkshire Council they expect to end the financial year 2021/22 with an unlicensed deficit on their Main School Budget.

School	2019/20		2020/21		2021/22	
	Budget	Actual	Budget	Actual	Budget	Forecast P9
	Surplus/(Deficit)		Surplus/(Deficit)		Surplus/(Deficit)	
St Josephs Primary	£0	£7,606	£270	£11,678	£540	(£9,545)
The Kite Federation	£7,880	£14,729	£3,940	£59,369	£29,660	(£56,333)

- 5.2 The 2021/22 budget submissions are shown below:

School	Budget Submission 2021/22		
	Budgeted Closing Balance		
	2021/22	2022/23	2023/24
St Josephs Primary	£540	£4,901	(£2,667)
The Kite Federation	£29,660	£19,778	£3,938
TOTAL SURPLUS/(DEFICIT)	£30,200	£24,679	£1,271

- 5.3 Both schools have submitted their Period Nine Budget Monitoring and Forecast report. These are shown in the table below:

School	Main School Budget (MSB)			Out of Hours Club (OoHC)			COMBINED
	2021/22 Original Budget Year-end surplus/ (deficit)	2021/22 P9 Forecast Year-end surplus/ (deficit)	Variance	2021/22 Original Budget Year-end surplus/ (deficit)	2021/22 P9 Forecast Year-end surplus/ (deficit)	Variance	2021/22 P9 Forecast Year-end surplus/ (deficit)
	A	B	B-A=C	A	B	B-A=C	B+E
St Josephs Primary	£540	£25,800	£25,260	£0	(£35,345)	(£35,345)	(£9,545)
The Kite Federation	£29,660	(£56,333)	(£85,993)	£3,650	£2,086	(£1,564)	(£54,247)

Note: Deficit on OoHC will be transferred to MSB at end of financial year

6. Total Forecast Deficit 2021/22

6.1 The total forecast for schools with licensed and unlicensed deficits is shown below:

School	2021/22	2021/22	2021/22
	Forecast Unlicensed Deficit	Forecast Licensed Deficit	Total Forecast Deficit
Basildon Primary School	(£29,656)		(£29,656)
Spurcroft Primary School	(£62,964)		(£62,964)
St Josephs Primary	(£9,545)		(£9,545)
The Kite Federation	(£56,333)		(£56,333)
Inkpen Primary School		(£28,977)	(£28,977)
Kintbury Primary School		(£48,637)	(£48,637)
St Finians RC Primary School		(£7,054)	(£7,054)
Total	(£158,498)	(£84,668)	(£243,166)

Dedicated Schools Grant Monitoring Report 2021/22 – Month 10

Report being considered by: Schools' Forum on 14th March 2022

Report Author: Ian Pearson

Item for: Information **By:** All Forum Members

1. Purpose of the Report

- 1.1 To report the forecast financial position of the services funded by the Dedicated Schools Grant (DSG), highlighting any under or over spends, and to highlight the cumulative deficit on the DSG.

2. Recommendation

- 2.1 That the report be noted.

Will the recommendation require the matter to be referred to the Council or the Executive for final determination?	Yes: <input type="checkbox"/>	No: <input checked="" type="checkbox"/>
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3. Background

- 3.1 The Dedicated Schools Grant (DSG) is a ring fenced specific grant which can only be spent on school/pupil activity as set out in The School and Early Years Finance (England) Regulations 2018. The Local Authority and Schools' Forum are responsible for ensuring that the DSG is deployed correctly according to the Regulations. Monitoring of spend against the grant needs to take place regularly to enable decision making on over spends/under spends and to inform future year budget requirements.
- 3.2 There are four DSG funding blocks: Schools Block, High Needs Block, Early Years Block and Central Schools Services Block. The funding for each of the four blocks is determined by a national funding formula.

4. 2021/22 Budget Setting

- 4.1 The 2021/22 Dedicated Schools Grant allocation is £149.8m. This includes £45.4m which funds Academies and post-16 high needs places which is paid direct by the Education and Skills Funding Agency (ESFA) to schools. The DSG budget for 2021/22 has been built utilising the remaining grant of £104.4m.
- 4.2 The schools block is ring fenced but the Local Authority can transfer up to 0.5% of the funding out of the schools block with Schools Forum agreement. The other blocks are not subject to this limitation on transfers. For the 2021/22 budget, Schools Forum agreed to transfer 0.25% of the Schools Block funding to the High Needs Block amounting to £274k for existing invest to save projects. A further 0.25% was agreed to be transferred for new invest to save projects.

- 4.3 The DSG expenditure budgets required for 2021/22 total £105.5m, which is £1.2m more than the funding available. As a result, a £1.2m in-year efficiency target has been set against this in order to balance the DSG budget, against the High Needs Block
- 4.4 There is a brought forward deficit on the DSG of £1.461m.

5. Month Ten Forecast (31 January 2022)

- 5.1 The forecast position at the end of January is shown in Table 1. A more detailed position per cost centre is shown in Appendix A.

Table 1 - DSG Block forecast	2019/20 Outturn	2020/21 Outturn	2021/22							Deficit/ (surplus)
			Original Budget	Budget Changes	Amended Budget	Quarter 1 Forecast	Quarter 2 Forecast	Quarter 3 Forecast	Month 10 Forecast	
	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000
Schools Block (inc ISB)	63,241	64,491	70,293	3	70,295	70,293	70,295	70,298	70,298	2
Early Years Block	9,983	10,346	10,359	(344)	10,014	10,359	10,359	10,359	10,050	36
Central School Services Block	918	856	1,009		1,009	1,011	1,014	1,007	1,004	(6)
High Needs Block	19,793	20,753	23,892		23,892	23,891	23,782	23,860	24,428	536
High Needs Block In-Year deficit recovery	(341)	0	(1,263)		(1,263)	0	0	0	0	1,263
Total Block Expenditure	93,594	96,446	104,290	(341)	103,949	105,553	105,450	105,523	105,780	1,832
Support Service Recharges	444	444								0
Total Expenditure	94,038	96,890	104,290	(341)	103,949	105,553	105,450	105,523	105,780	1,832
Funded by:										
DSG Grant	(92,447)	(97,120)	(104,290)	344	(103,946)	(104,290)	(104,290)	(104,263)	(103,737)	209
Net In-year Deficit	1,591	(230)	0	3	3	1,263	1,160	1,259	2,043	2,040
Deficit Balance in reserves	100	1,691	1,461		1,461	1,461	1,461	1,844	1,844	1,844
Cumulative Deficit	1,691	1,461	1,461	3	1,464	2,723	2,621	3,104	3,888	3,885

- 5.2 The Month Ten shows an in-year forecast deficit of £2.04m, against the in-year efficiency target in the High Needs Block. When added to the cumulative deficit of £1.84m, the forecast year end deficit on the DSG is £3.9m.
- 5.3 The High Needs Block is currently showing a £536k pressure against the current year budget. The main changes from Quarter Three are additional charges of £200k in Top Up Funding to schools, predominantly in Special Schools. There is also a charge for Teacher's Pension for the Special Schools and PRU, which used to be funded via a separate grant, but was removed in 2021/22. Within the total allocation of the High Needs Block, £203k was received for this. The total cost for the service is £351k. The guidance was unclear as to whether the funding for these pension costs was to be received on top of the high needs block allocation, or included within the total, but it has been confirmed that no additional funding will be received.
- 5.4 The table below shows the forecast position for the end of 2021/22 by block. The surplus balance on the Schools Block of £988k is supporting the forecast overspend position on the other blocks.

5.5 The change in reserves is shown below:

Reserve Balances (surplus)/deficit	1.4.2021 Actual	31.3.2022 Forecast	31.3.23 Forecast
	£k	£k	£k
Schools Block De-delegated	(331)	(271)	(200)
Schools Block - growth fund	(1,501)	(1,174)	(788)
Schools Block - other	(80)	(80)	0
Early Years Block	970	1,006	1,006
Central School Services Block	72	67	60
High Needs Block	2,327	4,126	5,416
Grant changes	3	212	182
Total Deficit Balance	1,461	3,885	5,675

6. Conclusion

6.1 The total forecast deficit on the DSG amounts to £3.9m, comprising £1.84m from previous years and a further £2.04m forecast overspend in year. The forecast position will be kept under review and updates provided to Schools' Forum

Appendix A – DSG 2021-22 Budget Monitoring Report Month 10

Dedicated School's Grant (DSG) 2021/2022 Budget Monitoring Month Ten							
Cost Centre	Description	Original Budget 2021/22	Net Virements in year	Amended Budget 2021/22	Month 10 Forecast	Variance	Comments
90020	Primary Schools (excluding nursery funding)	51,721,830		51,721,830	51,721,830	0	
DSG top slice	Academy Schools Primary	0		0		0	
90025	Secondary Schools (excluding 6th form funding)	17,880,470		17,880,470	17,880,470	0	
DSG top slice	Academy Schools Secondary	0		0		0	
90230	DD - Schools in Financial Difficulty (primary schools)	27,500		27,500	30,000	2,500	Balance to be funded from reserves
90113	DD - Trade Union Costs	49,480		49,480	49,480	0	
90255	DD - Support to Ethnic minority & bilingual Learners	197,500		197,500	197,500	0	
90349	DD - Behaviour Support Services	204,340	2,880	207,220	207,220	0	
90424	DD - CLEAPSS	3,070		3,070	3,070	0	
90470	DD - School Improvement	0		0	0	0	
90423	DD - Statutory & Regulatory Duties	176,180		176,180	176,180	0	
90235	School Contingency - Growth Fund/Falling Rolls Fund	0		0	0	0	Spend of £327,000 will be funded by reserves
90054	Efficiency Target	-31,200		-31,200	-31,200	0	
	SSR	63,373		63,373	63,373	0	
	Schools Block Total	70,292,543	2,880	70,295,423	70,297,923	2,500	
90583	National Copyright Licences	150,490		150,490	150,490	0	
90019	Servicing of Schools Forum	45,290		45,290	41,390	-3,900	
90743	School Admissions	179,920		179,920	175,920	-4,000	
90354	ESG - Education Welfare	159,820		159,820	151,820	-8,000	
90460	ESG - Statutory & Regulatory Duties	357,310		357,310	360,700	3,390	
90054	Efficiency Target	-6,860		-6,860	0	6,860	
	SSR	123,324		123,324	123,324	0	
	Central School Services Block DSG	1,009,294	0	1,009,294	1,003,644	-5,650	
90010	Early Years Funding - Nursery Schools	854,520		854,520	855,848	1,328	
90037	Early Years Funding - Maintained Schools	1,561,780		1,561,780	1,838,560	276,780	
90036	Early Years Funding - PVI Sector	6,251,270		6,251,270	5,914,130	-337,140	
90052	Early Years PPG & Deprivation Funding	200,350		200,350	237,699	37,349	
90053	Disability Access Fund	23,370		23,370	12,300	-11,070	
90018	2 year old funding	635,550		635,550	705,187	69,637	
90017	Central Expenditure on Children under 5	270,770		270,770	269,800	-970	
90287	Pre School Teacher Counselling	58,375		58,375	58,375	0	
90238	Early Years Inclusion Fund	90,000		90,000	90,000	0	
90054	Surplus budget re 20/21 clawback	344,120	-344,120	0	0	0	
	SSR	68,513		68,513	68,513	0	
	Early Years Block Total	10,358,618	-344,120	10,014,498	10,050,412	35,914	

Dedicated School's Grant (DSG) 2021/2022 Budget Monitoring Month Ten

Cost Centre	Description	Original Budget 2021/22	Net Virements in year	Amended Budget 2021/22	Month 10 Forecast	Variance	Comments
90026	Academy Schools RU Top Ups	1,113,300		1,113,300	1,006,300	-107,000	
90539	Special Schools - Top Up Funding	4,403,120		4,403,120	4,891,450	488,330	
90548	Non WBC Special Schools - Top Up Funding	1,324,500		1,324,500	1,079,300	-245,200	
90575	Non LEA Special School (OofA)	1,007,880		1,007,880	943,270	-64,610	
90579	Independent Special School Place & Top Up	3,535,280		3,535,280	3,389,060	-146,220	
90580	Further Education Colleges Top Up	1,437,800		1,437,800	1,236,300	-201,500	
90617	Resourced Units top up Funding maintained	314,000		314,000	319,200	5,200	
90618	Non WBC Resourced Units - Top Up Funding	170,540		170,540	198,640	28,100	
90621	Mainstream - Top Up Funding maintained	818,660		818,660	931,620	112,960	
90622	Mainstream - Top Up Funding Academies	423,560		423,560	503,510	79,950	
90624	Non WBC Mainstream - Top Up Funding	160,510		160,510	209,030	48,520	
90625	Pupil Referral Units - Top Up Funding	821,920		821,920	821,920	0	
90627	Disproportionate No: of HN Pupils NEW	40,000		40,000	48,650	8,650	
90628	EHCP PRU Placement	571,450		571,450	752,632	181,182	
	High Needs Block: Top Up Funding Total	16,142,520	0	16,142,520	16,330,882	188,362	
90320	Pupil Referral Units	660,000		660,000	660,000	0	
90540	Special Schools	2,860,000		2,860,000	2,860,000	0	
90546	Special Schools - Place Funding Post 16	790,000		790,000	790,000	0	
90551	Mainstream Maintained - post 16 SEN places	0		0	38,000	38,000	
90552	Special Schools and PRU Teachers Pay and Pension	0	312,050	312,050	312,046	-4	
90584	Resourced Units - Place Funding	242,000		242,000	226,000	-16,000	
	High Needs Block: Place Funding Total	4,552,000	312,050	4,864,050	4,886,046	21,996	
90240	Applied Behaviour Analysis	150,470		150,470	201,990	51,520	
90280	Special Needs Support Team	328,100		328,100	328,100	0	
90281	SEND Strategy (DSG)	68,700		68,700	51,800	-16,900	
90282	Medical Home Tuition	172,730		172,730	142,730	-30,000	
90237	High Needs Contingency	110,930	-11,070	99,860	99,860	0	
90287	Pre School Teacher Counselling	58,375		58,375	58,375	0	
90288	Elective Home Education Monitoring	28,240		28,240	26,240	-2,000	
90290	Sensory Impairment	247,860		247,860	244,750	-3,110	
90295	Therapy Services	314,500		314,500	314,500	0	
90372	Therapeutic Thinking	54,300		54,300	54,300	0	
90373	Emotional Based School Avoiders (EBSA)	110,660	11,070	121,730	121,730	0	
90555	LAL Funding	122,000		122,000	122,000	0	
90565	Equipment For SEN Pupils	15,000		15,000	15,000	0	
90577	SEN Commissioned Provision	584,480		584,480	583,050	-1,430	
90582	PRU Outreach	61,200		61,200	61,200	0	
90585	HN Outreach Special Schools	50,000		50,000	50,000	0	
90610	Hospital Tuition	39,280		39,280	55,280	16,000	

Dedicated School's Grant (DSG) 2021/2022 Budget Monitoring Month Ten							
Cost Centre	Description	Original Budget 2021/22	Net Virements in year	Amended Budget 2021/22	Month 10 Forecast	Variance	Comments
90830	ASD Teachers	282,660		282,660	282,660	0	
90961	Vulnerable Children	179,400		179,400	179,400	0	
90581	Dingleys Promise	30,000		30,000	30,000	0	
	High Needs Block: Non Top Up or Place Funding	3,008,885	0	3,008,885	3,022,965	14,080	
90054	Efficiency Target	-1,262,500	-312,050	-1,574,550		1,574,550	
	SSR	188,790		188,790	188,790	0	
	High Needs Block Total	22,629,695	0	22,629,695	24,428,683	1,798,988	
	Total Expenditure across funding bocks	104,290,150	-341,240	103,948,910	105,780,662	1,831,752	
	TOTAL DSG EXPENDITURE	104,290,150	-341,240	103,948,910	105,780,662	1,831,752	
90030	DSG Grant Account	-104,290,150	344,120	-103,946,030	-103,737,127	208,903	
	NET DSG EXPENDITURE	0	2,880	2,880	2,043,535	2,040,655	